

Financing Agreement  
n° FED/2013/ 024-953

**FINANCING AGREEMENT**  
**between**  
**THE EUROPEAN COMMISSION**  
**and**  
**THE CARIFORUM**

CARIFORUM Crime and Security Cooperation Programme

# FINANCING AGREEMENT

## Special Conditions

The European Union, hereinafter referred to as "**the EU**", represented by the European Commission, hereinafter referred to as "**the Commission**", in its capacity as manager of the 10<sup>th</sup> European Development Fund, hereinafter referred to as "**the EDF**",

of the one part, and

The Caribbean Forum of the ACP States, represented by the Secretary General of CARIFORUM, Regional Authorising Officer, hereinafter referred to as "**the Beneficiary**",

of the other part,

have agreed as follows:

### ARTICLE 1 - NATURE AND PURPOSE OF THE OPERATION

1.1. The EU shall contribute to the financing of the following *programme*:

**CRIS decision number:** FED/2013/ 024-953

**Title:** CARIFORUM Crime and Security Cooperation Programme

hereinafter referred to as "*the programme*", which is described in the Technical and Administrative Provisions.

1.2 This *programme* shall be implemented in accordance with this Financing Agreement and the annexes thereto.

### ARTICLE 2 – TOTAL ESTIMATED COST AND THE EDF FINANCIAL CONTRIBUTION

2.1 The total cost of the *programme* is estimated at 12,585,000 euro.

2.2 The EU undertakes to finance a maximum of 12,195,000 euro. The breakdown of the EDF financial contribution into budget headings is shown in the budget included in the Technical and Administrative Provisions.

06 OCT. 2014

Jolita BUTKEVICIENE  
Director

### **ARTICLE 3 - THE BENEFICIARY'S CONTRIBUTION**

3.1 The Beneficiary undertakes to co-finance the *programme* with *zero* euro. The breakdown of the Beneficiary's financial contribution into budget headings is shown in the budget included in the Technical and Administrative Provisions.

3.2 Where there is a non-financial contribution by the Beneficiary, detailed arrangements for the delivery of such contribution shall be set out in the Technical and Administrative Provisions.

### **ARTICLE 4 – IMPLEMENTATION**

In order to implement the *programme*, the financial implementation tasks as described in the Technical and Administrative Provisions are entrusted by the Commission to the Beneficiary.

### **ARTICLE 5 – PERIOD OF EXECUTION**

5.1 The period of execution of the Financing Agreement, as defined in Article 4 of the General Conditions, shall commence on the entry into force of the Financing Agreement and end 84 months after this date.

5.2 The duration of the operational implementation phase is fixed at 60 months.

5.3 The duration of the closure phase is fixed at 24 months.

### **ARTICLE 6 - PAYING AGENT**

In order to effect the payments resulting from this Financing Agreement, the role of paying agent shall be performed by the financial institution chosen by the Commission.

### **ARTICLE 7 - PUBLICATION OF INFORMATION**

Information, as mentioned in Article 11 of the General Conditions, shall be published each year by the Beneficiary on its website.

### **ARTICLE 8 - ADDRESSES**

All communications concerning the implementation of the Financing Agreement shall be in writing, refer expressly to the *programme* and be sent to the following addresses:

**a) for the Commission**

The Head of the Delegation of the European Union  
to Guyana, Suriname, Trinidad & Tobago and for

the Dutch Overseas Countries and Territories  
11, Sendall Place, Stabroek  
Georgetown, Guyana

**b) for the Beneficiary**

The Regional Authorising Officer  
The Caribbean Forum of the ACP States  
Secretary General of CARIFORUM  
CARICOM Secretariat  
Headquarters Building  
Turkeyen - Greater Georgetown

**ARTICLE 9 - ANNEXES**

9.1 The following documents shall be annexed to this Financing Agreement and form an integral part thereof:

Annex I: General Conditions

Annex II: Technical and Administrative Provisions

9.2 In the event of a conflict between the provisions of the Annexes and those of the Special Conditions of the Financing Agreement, the provisions of the Special Conditions shall take precedence. In the event of a conflict between the provisions of Annex I and those of Annex II, the provisions of Annex I shall take precedence.

**ARTICLE 10 – ENTRY INTO FORCE OF THE FINANCING AGREEMENT**

The Financing Agreement shall enter into force on the date on which it is signed by the last party.

Done in 2 original copies, 1 copy being handed to the Commission and 1 to the Beneficiary.

FOR THE COMMISSION

Jolita BUTKEVICIENE  
Authorising Officer of the ECF  
by Sudelegation

[Signature]

[Date]

06 OCT. 2014



FOR THE BENEFICIARY

[Name and title of the individual  
authorised to sign]

[Signature]

[Date]

*Irwin Lohague*

27 NOV 2014

Jolita BUTKEVICIENE<sup>4</sup>  
Director

## **ANNEX I - GENERAL CONDITIONS**

### **TITLE I - PROJECT/PROGRAMME FINANCING**

#### **ARTICLE 1 – GENERAL PRINCIPLE**

- 1.1 The EDF financial contribution shall be limited to the amount specified in the Financing Agreement.
- 1.2 The provision of EDF financing shall be subject to fulfilment of the Beneficiary's obligations under this Financing Agreement.
- 1.3. The expenditure incurred by the Beneficiary before the entry into force of the Financing Agreement is not eligible for the EDF financing.

#### **ARTICLE 2 - COST OVERRUNS AND COVERING THEM**

- 2.1 Individual overruns of the budget headings of the Financing Agreement are dealt with by reallocating funds within this budget, in accordance with Article 22 of these General Conditions.
- 2.2 Wherever there is a risk of overrunning the global amount set in the Financing Agreement, the Beneficiary shall immediately inform the Commission and seek its prior approval for the corrective measures planned to cover the overrun, proposing either to scale down the project/programme or to draw on its own or other non-EDF resources.
- 2.3 If the project/programme cannot be scaled down, or if the overrun cannot be covered either by the Beneficiary's own resources or other resources, the Commission may, at the Beneficiary's duly substantiated request, decide to grant additional EDF financing. Should the Commission take such a decision, the excess costs shall be financed, without prejudice to the relevant EU rules and procedures, by the release of an additional financial contribution to be set by the Commission.

### **TITLE II - IMPLEMENTATION**

#### **ARTICLE 3 – GENERAL PRINCIPLE**

The project/programme shall be implemented under the responsibility of the Beneficiary with the approval of the Commission.

## **ARTICLE 4 - PERIOD OF EXECUTION**

- 4.1 The period of execution of the Financing Agreement shall comprise two phases:
- an operational implementation phase, in which the principal activities are carried out. This phase shall commence on the entry into force of the Financing Agreement and end with the opening of the closure phase;
  - a closure phase, during which final audits and evaluation are carried out and contracts and programme estimates for the implementation of the Financing Agreement are technically and financially closed. This phase shall end at the latest 24 months after the end of the operational implementation phase.
- 4.2 Costs related to the principal activities shall be eligible for EDF financing only if they have been incurred during the operational implementation phase. Costs related to final audits and evaluation and closure activities shall be eligible up to the end of the closure phase.
- 4.3 Any balance remaining from the EDF contribution will be automatically decommitted no later than six months after the end of the period of execution.
- 4.4 In exceptional and duly substantiated cases, a request may be made for the extension of the operational implementation phase and correlatively of the period of execution. If the extension is requested by the Beneficiary, the request must be made at least three months before the end of the operational implementation phase and approved by the Commission before that latter date.
- 4.5 In exceptional and duly substantiated cases, and after the end of the operational implementation phase, a request may be made for the extension of the closure phase and correlatively of the period of execution. If the extension is requested by the Beneficiary, the request must be made at least three months before the end of the closure phase and approved by the Commission before that latter date.

## **TITLE III – PAYMENTS TO BE MADE BY THE COMMISSION TO THIRD PARTIES**

### **ARTICLE 5 – DEADLINE FOR PAYMENTS TO BE MADE BY THE COMMISSION TO THIRD PARTIES**

- 5.1 When the Commission is making payments related to contracts implementing the Financing Agreement and awarded by the Beneficiary, the Beneficiary shall undertake to provide the Commission with the payment request or invoice not later than:
- (i) for procurement contracts, 45 calendar days after registering an admissible payment request;
  - (ii) for grant contracts, (a) 15 calendar days for the initial pre-financing, (b) 30 calendar days for further pre-financing / balance payments; both before the expiry of the payment deadline specified in the contract.

The Beneficiary shall notify the Commission of the date of registration of this request. The payment request is not admissible if at least one essential requirement is not met. The time limit for payments may be suspended at any time by the Commission by informing the Beneficiary that the payment request can not be met, either because the amount is not due or because the appropriate supporting documents have not been produced. If information which puts in doubt the eligibility of expenditure appearing in a payment request comes to the notice of the Commission, the Commission may suspend the time limit for payment for the purpose of further verification, including an on-spot check, in order to ascertain, prior to payment, that the expenditure is indeed eligible. The Commission shall inform the Beneficiary as soon as possible.

5.2 a) For procurement contracts:

The deadline referred to in paragraph 5.1 shall also apply when payment is conditional on approval of a report. In this case, the request for payment can be considered admissible but the time limit for payment shall begin only when the Beneficiary has approved the report, either expressly, by notifying the contractor, or tacitly, by allowing the contractual deadline for approval to expire without sending the contractor a document formally suspending that deadline. The Beneficiary shall notify the Commission of the date of approval of the report.

b) For grant contracts:

The deadline referred to in paragraph 5.1 shall also apply when payment is conditional on approval of a report. The approval of any report is included in the payment deadline specified in the contract. To this end, the Beneficiary has to approve the report and provide the Commission with the payment request or invoice within the deadline set above in article 5.1. When the Beneficiary does not approve the report, he shall send, as soon as possible, to the contractor or grant beneficiary a document formally suspending the deadline for payment and explaining the reasons for suspension. Suspension is effective from the sending of the notification. The contractor or grant beneficiary must provide clarifications, modifications or further information within 30 days of the notification. The time limit for payment begins to run again from the date on which the clarifications are registered.

5.3 In the event of any delay in forwarding payment requests attributable to the Beneficiary, the Commission shall not be obliged to pay the contractor the late-payment interest provided for in contracts, which will be payable by the Beneficiary. The contractor is entitled to payment of late-payment interest, unless he is a government department or public body in an EU Member State.

## **TITLE IV – PAYMENTS TO BE MADE BY THE BENEFICIARY TO THIRD PARTIES AND DISBURSEMENT TO BE MADE BY THE COMMISSION THROUGH PROGRAMME ESTIMATES**

### **ARTICLE 6 – GENERAL PRINCIPLE**

- 6.1 When the Beneficiary is making payments to third parties, programme estimates must be drawn up and adopted beforehand.
- 6.2 The programme estimate is a document laying down the programme of measures to be carried out and the human and material resources required, the corresponding budget and the detailed technical and administrative implementing arrangements for decentralised execution of a project/programme over a specified period by direct labour and/or by means of public procurement and/or the award of grants.
- 6.3. All programme estimates implementing the Financing Agreement must respect the procedures and standard documents laid down by the Commission, in force at the time of the adoption of the programme estimates in question.

## ARTICLE 7 - DISBURSEMENT

- 7.1 The Commission shall transfer funds no later than 45 calendar days after the date on which it registers an admissible payment request from the Beneficiary. The payment request is not admissible if at least one essential requirement is not met. The time limit for payments may be suspended by the Commission by informing the Beneficiary, at any time during the period referred to above, that the payment request can not be met, either because the amount is not due or because the appropriate supporting documents have not been produced. If information which puts in doubt the eligibility of expenditure appearing in a payment request comes to the notice of the Commission,, the Commission may suspend the time limit for payment for the purpose of further verification, including an on-spot check, in order to ascertain, prior to payment, that the expenditure is indeed eligible. The suspension shall be communicated to the Beneficiary as soon as possible. The time limit for payment shall resume once the payment request becomes admissible.
- 7.2 The Commission shall make payments to a bank account opened at a financial institution accepted by the Commission.
- 7.3 The Beneficiary shall guarantee that funds paid by the Commission by way of pre-financing can be identified in this bank account.
- 7.4 Transfers in euro shall, if necessary, be converted into the Beneficiary's national currency as and when payments have to be made by the Beneficiary, at the bank rate in force on the day of payment by the Beneficiary.
- 7.5 The funds paid by the Commission to this bank account shall yield interest or equivalent benefits. The Beneficiary shall notify the Commission of interest or equivalent benefits yielded by those funds at least once a year.
- 7.6 Interest or equivalent benefits yielded by the funds paid of more than two hundred fifty thousand euro shall be repaid to the Commission within 45 days of receipt of the Commission's request.
- 7.7 For a programme estimate which has not given rise to any transfer of funds within three years of its signature, the corresponding committed amount shall be decommitted.



## **TITLE V – AWARD OF PROCUREMENT AND GRANT CONTRACTS**

### **ARTICLE 8 – GENERAL PRINCIPLES**

8.1 All contracts implementing the Financing Agreement must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the Commission for the award of procurement and grant contracts, in force at the time of the launch of the procedure in question.

8.2 The Beneficiary shall use the language of this Financing Agreement for the award of procurement and grant contracts.

8.3 In cases of decentralised contracts, the Beneficiary will inform the Commission when a candidate, tenderer or applicant is in a situation of exclusion from participation in award procedures according to the relevant provisions of the Financial Regulation applicable to the European Development Fund or when contractor has been guilty of making false declarations or has made substantial errors or committed irregularities and fraud, or has been found in serious breach of its contractual obligations.

In such cases, without prejudice to the power of the Commission to exclude an entity from future procurement and grant contracts financed by the EU according to the Financial Regulation applicable to the general budget of the European Union, financial penalties to contractors mentioned in the provision on "Administrative and Financial Penalties" of the General Conditions of decentralised contracts may be imposed to the contractors by the Beneficiary if this is allowed by its national law. Such financial penalties shall be imposed following an adversarial procedure and ensuring the right of defence of the contractor.

8.4 The Beneficiary undertakes to take every appropriate measure to remedy any practices of active or passive corruption whatsoever at any stage of the procedure for the award of contracts or grants. "Passive corruption" shall mean the deliberate action of an official, who, directly or through an intermediary, requests or receives advantages of any kind whatsoever, for himself or for a third party, or accepts a promise of such an advantage, to act or refrain from acting in accordance with his duty or in the exercise of his functions in breach of his official duties, which has, or would have, the effect of harming the EU's financial interests. "Active corruption" shall mean the deliberate action of whosoever promises or gives, directly or through an intermediary, an advantage of any kind whatsoever to an official, for himself or for a third party, to act or refrain from acting in accordance with his duty or in the exercise of his functions in breach of his official duties, which has, or would have, the effect of harming the EU's financial interests.

## **ARTICLE 9 - DEADLINE FOR THE SIGNATURE OF THE CONTRACTS AND PROGRAMME ESTIMATES IMPLEMENTING THE FINANCING AGREEMENT**

- 9.1 Except for those components of this Financial Agreement implemented under Joint Management or Indirect centralised management, the contracts and programme estimates implementing the Financing Agreement shall be signed by both parties within three years of the entry into force of the Financing Agreement. That deadline may not be extended.
- 9.2 The above provision shall not apply to:
- audit and evaluation contracts, which may be signed later,
  - addenda to contracts already signed;
  - contracts concluded after early termination of an existing contract and
  - cases of change of entity charged with budget execution tasks
- 9.3 At the end of the three years of the entry into force of the Financing Agreement, any balance for which contracts have not been signed, except those referred to in Article 9.2 here above, will be decommitted.
- 9.4 The above provision shall not apply to any balance of the contingency reserve.
- 9.5 A contract or programme estimate which has not given rise to any payment within three years of its signature shall be automatically terminated and its funding shall be decommitted.

## **ARTICLE 10 - ELIGIBILITY**

- 10.1 (Applicable to the ACPs) Participation in invitations to tender for works, supply or service contracts and in calls for proposals shall be open on equal terms to all natural and legal persons of the Member States of the EU and of the African, Caribbean and Pacific (ACP) States, and in the specific cases and under the conditions provided in Annex IV to the ACP-EC Partnership Agreement to natural and legal persons of other third countries.
- (Applicable to the OCTs) Participation in invitations to tender for works, supply or service contracts and in calls for proposals shall be open on equal terms to all natural and legal persons of the Member States of the EU, of the ACP States and of the overseas countries and territories (OCTs).
- 10.2 Goods and supplies financed by the EDF and necessary for the performance of works, supply and service contracts and procurement procedures launched by the grant beneficiaries for the execution of the action financed must originate in countries eligible to participate in the terms laid down in the previous paragraph, (applicable to the ACPs) except in the specific cases provided in Annex IV to the ACP-EC Partnership Agreement. In this context, the definition of the concept of "originating products" shall be assessed by reference to the relevant international agreements, and supplies originating in the EU shall include supplies originating in the OCTs.

## ARTICLE 11 - PUBLICATION OF INFORMATION

- 11.1 The Beneficiary undertakes to publish each year in a dedicated and easily accessible place of its internet site the title of each contract financed by the Financing Agreement, the name and nationality of the grant beneficiary or successful tenderer as well as the amount of the corresponding grant or contract.
- 11.2. If such internet publication is impossible, the information shall be published by any other appropriate means, including the official journal of the Beneficiary. Publication shall take place during the first half of the year following the closure of the year in respect of which the contracts and grants were awarded by the Beneficiary. The Beneficiary shall communicate to the Commission the address of the place of publication and reference shall be made to this address in the dedicated place of the internet site of EuropeAid. If the information is published otherwise, the Beneficiary shall give the Commission full details of the means used.

## **TITLE VI - RULES APPLICABLE TO THE PERFORMANCE OF CONTRACTS**

### **ARTICLE 12 - ESTABLISHMENT AND RIGHT OF RESIDENCE**

- 12.1 Where justified by the nature of the contract, the Beneficiary shall entitle natural and legal persons participating in invitations to tender for works, supply or service contracts with a provisional right of establishment and residence in the Beneficiary's territory(ies). This right shall remain valid for one month after the contract is awarded.
- 12.2 The Beneficiary shall, also entitle contractors (procurement and grant contracts) and natural persons whose services are required for the performance of the contract and members of their family, with similar rights during the implementation of the project/programme.

### **ARTICLE 13 - TAX AND CUSTOMS PROVISIONS**

- 13.1 The Beneficiary shall apply to procurement contracts and grants financed by the EDF the most-favoured tax and customs arrangements applied to States or international development organisations with which it has relations. For the purpose of determining the most-favoured-State treatment, account shall not be taken of arrangements applied by the Beneficiary to the other ACP States or to other developing countries.
- 13.2 Where a Framework Agreement is applicable, which includes more detailed provisions on this subject, these provisions shall apply as well.

### **ARTICLE 14 - FOREIGN EXCHANGE ARRANGEMENTS**

- 14.1 The Beneficiary undertakes to authorise the import or purchase of the foreign currency necessary for the implementation of the project. It also undertakes to apply its national foreign exchange regulations in a non-discriminatory manner to the contractors allowed to participate referred to in Article 10 of these General Conditions.
- 14.2 Where a Framework Agreement is applicable, which includes more detailed provisions on this subject, these provisions shall apply as well.

### **ARTICLE 15 – USE OF DATA FROM STUDIES**

Where the Financing Agreement involves the financing of a study, the contract related to this study, signed for the implementation of the Financing Agreement, shall govern the ownership of that study and shall include the right for the Beneficiary and the Commission to use data in the study, to publish it or to disclose it to third parties.

## **ARTICLE 16 - ALLOCATIONS OF AMOUNTS RECOVERED UNDER CONTRACTS**

- 16.1 Without prejudice to the responsibilities of the Beneficiary, the Commission may, in accordance with the relevant provisions of Financial Regulation applicable to the 10th European Development Fund, formally establish an amount as being receivable under a contract financed under this Financing Agreement and proceed to its recovery by any means.
- 16.2 Amounts recovered by the Beneficiary from payments wrongly effected, from financial guarantees lodged on the basis of procedures of award of contracts or under a contract financed under this Financing Agreement, as well as from financial penalties imposed by the Beneficiary on candidate, tenderer, contractor or grant beneficiary, shall be allocated to this project/programme. The damages granted to the Beneficiary shall also be allocated to this project/programme.

## **ARTICLE 17 - FINANCIAL CLAIMS UNDER CONTRACTS**

The Beneficiary undertakes to confer with the Commission before taking any decision concerning a request for compensation made by a contractor and considered by the Beneficiary to be justified in whole or in part. The financial consequences may be borne by the EDF only where the Commission has given its prior approval. Such prior approval is also required for any use of funds committed under the present Financing Agreement to cover costs arising from disputes relating to contracts.

## **TITLE VII - GENERAL AND FINAL PROVISIONS**

### **ARTICLE 18 – VISIBILITY**

- 18.1 Every project/programme financed by the EDF shall be subject to the appropriate communication and information measures. Unless otherwise agreed, the Beneficiary shall take the necessary measures to ensure the visibility of the EU funding for the project/programme. These measures shall be defined under the responsibility of the Beneficiary with the approval of the Commission.
- 18.2 These communication and information operations must follow the rules in the Communications and Visibility Manual for EU External Actions laid down and published by the Commission, in force at the time of the measures..

### **ARTICLE 19 – PREVENTION OF IRREGULARITIES, FRAUD AND CORRUPTION**

- 19.1 The Beneficiary undertakes to check regularly that the operations financed with the EDF funds have been properly implemented. It shall take appropriate

measures to prevent irregularities and fraud and, if necessary, bring prosecutions to recover funds wrongly paid.

- 19.2 "Irregularity" shall mean any infringement of the Financing Agreement, implementing contracts and programme estimates or of EU law resulting from an act or omission by an economic operator, which has, or would have, the effect of prejudicing the EDF either by reducing or losing revenue accruing from own resources collected directly on behalf of the EU, or by an unjustified item of expenditure.

"Fraud" shall mean any intentional act or omission concerning:

- the use or presentation of false, incorrect or incomplete, statements or documents which has as its effect the misappropriation or wrongful retention of funds from the EDF;
- non-disclosure of information in violation of a specific obligation, with the same effect;
- the misapplication of such funds for purposes other than those for which they are originally granted.

- 19.3 The Beneficiary shall immediately inform the Commission of any element brought to its attention which arouses suspicions of irregularities or fraud and of any measure taken to deal with them.

- 19.4 As stated in article 8.3, in cases of decentralised contracts, the Beneficiary will inform the Commission when a contractor has been guilty of making false declarations or has made substantial errors or committed irregularities and fraud, or has been found in serious breach of its contractual obligations.

Without prejudice to the power of the Commission to exclude a natural or legal person from future procurement and grant contracts financed by the EU according to the relevant provisions applicable to the European Development Fund (EDF), financial penalties to contractors mentioned in the provision on "Administrative and Financial Penalties" of the General Conditions of decentralised contracts may be imposed to contractors by the Beneficiary if this is allowed by its national law. Such financial penalties shall be imposed, following an adversarial procedure and ensuring the right of defence of the contractor.

- 19.5 The Beneficiary shall immediately inform the Commission of the name of the economic operators whom have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the EU's financial interests.

- 19.6 The Beneficiary undertakes to take every appropriate measure to remedy any practices of active or passive corruption whatsoever in the implementation of the related contracts. Definitions in Article 8.3 apply herein

If the Beneficiary does not take the appropriate measures to remedy any practices of corruption or fraud mentioned under this article, the Commission

may adopt itself such measures including the recovery of the EU funding by any means.

**ARTICLE 20 - VERIFICATIONS AND CHECKS BY THE COMMISSION, THE EUROPEAN ANTI-FRAUD OFFICE (OLAF) AND THE EUROPEAN COURT OF AUDITORS**

- 20.1 The Beneficiary agrees to the Commission, OLAF and the European Court of Auditors conducting documentary and on-the-spot checks on the use made of EDF funding under the Financing Agreement (including procedures for the award of contracts and grants) and carrying out a full audit, if necessary, on the basis of supporting documents of accounts and accounting documents and any other documents relating to the financing of the project/programme, throughout the duration of the agreement and for seven years after the date of the last payment.
- 20.2 The Beneficiary also agrees that OLAF may carry out on-the-spot checks and verifications in accordance with the procedures laid down by EU law for the protection of the EU's financial interests against fraud and other irregularities.
- 20.3 To that end, the Beneficiary undertakes to grant officials of the Commission, OLAF and the European Court of Auditors and their authorised agents access to sites and premises at which operations financed under the Financing Agreement are carried out, including their computer systems, and to any documents and computerised data concerning the technical and financial management of those operations, and to take every appropriate measure to facilitate their work. Access by authorised agents of the Commission, OLAF and the European Court of Auditors shall be granted on conditions of strict confidentiality with regard to third parties, without prejudice to public law obligations to which they are subject. Documents must be accessible and filed in a manner permitting easy inspection, the Beneficiary being bound to inform the Commission, OLAF or the European Court of Auditors of the exact location at which they are kept.
- 20.4 The checks and audits described above shall also apply to contractors and subcontractors who have received EDF funding.
- 20.5 The Beneficiary shall be notified of on-the-spot missions by agents appointed by the Commission, OLAF or the European Court of Auditors.
- 20.6 The Beneficiary shall keep the following financial and contractual supporting documents

Procurement procedures:

- Forecast notice with proof of publication of the procurement notice and any corrigenda
- Nomination of shortlist panel
- Shortlist report (incl. annexes) and applications
- Proof of publication of the shortlist notice
- Letters to non-shortlisted candidates
- Invitation to tender or equivalent

- Tender dossier including annexes, clarifications, minutes of the meetings, proof of publication
- Nomination of the evaluation committee
- Tender opening report, including annexes
- Evaluation / negotiation report, including annexes and bids received<sup>1</sup>
- Other documents
- Notification letter
- Supporting documents
- Cover letter for submission of contract
- Letters to unsuccessful candidates
- Award / cancellation notice, including proof of publication
- Signed contract, amendments, riders and relevant correspondence

Calls for proposals and direct award of grants:

- Nomination of the evaluation committee
- Opening and administrative report including annexes and applications received<sup>2</sup>
- Letters to successful and unsuccessful applicants
- Concept note evaluation report
- Letters to successful and unsuccessful applicants
- Evaluation report of the full application or negotiation report with relevant annexes
- Eligibility check and supporting documents
- Letters to successful and unsuccessful applicant with approved reserve list
- Cover letter for submission of contract
- Award/cancellation notice with proof of publication
- Signed contract, amendments, riders and relevant correspondence

In case of decentralised operations:

- In addition to all of the above-mentioned supporting documents also all relevant documentation relating to payments and recovery orders.

## **ARTICLE 21 – CONSULTATION BETWEEN THE COMMISSION AND THE BENEFICIARY**

- 21.1 The Beneficiary and the Commission shall consult each other before taking any dispute relating to the implementation or interpretation of this Financing Agreement further, in accordance, where appropriate, with the relevant provisions of the ACP-EC Partnership Agreement.
- 21.2 Where the Commission becomes aware of problems in carrying out procedures relating to management of this Financing Agreement, it shall establish all necessary contacts with the Beneficiary to remedy the situation and, take any steps that are necessary, including, where the Beneficiary does not, or is

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<sup>1</sup> Elimination of unsuccessful bids five years after the closure of the procurement procedure.

<sup>2</sup> Elimination of unsuccessful applications three years after the closure of the grant procedure.



unable to, perform the duties incumbent on it, temporarily taking the Beneficiary's place.

- 21.3 The consultation may lead to the amendment, suspension or termination of the Financing Agreement.

## **ARTICLE 22 – AMENDMENT OF THE FINANCING AGREEMENT**

- 22.1 Any amendment to the Special Conditions, Annex II and Annex III to the Financing Agreement shall be made in writing and be the subject of an addendum.
- 22.2 If the request for an amendment comes from the Beneficiary, the latter shall submit that request to the Commission at least three months before the amendment is intended to enter into force, except in cases which are duly substantiated by the Beneficiary and accepted by the Commission.
- 22.3 For technical adjustments, which do not affect the objectives and results of the project/programme and alterations in matters of detail which do not affect the technical solution adopted, and within the limit of the contingencies funds, the Beneficiary shall inform the Commission of the amendment and its justification in writing as soon as possible and apply that amendment.
- 22.4 The use of the contingency reserve shall be subject to the Commission's prior written agreement.
- 22.5 The specific cases of the extension of the operational implementation phase or of the closure phase are governed by Article 4 (4) and (5) of these General Conditions.
- 22.6 Where the Commission considers that the Beneficiary ceases to satisfy the decentralisation criteria and without prejudice to Articles 23 and 24 of these General Conditions, the Commission may decide to retake the financial implementation tasks entrusted to the Beneficiary in order to continue the implementation of the project/programme on behalf, and for the account, of the Beneficiary, after informing the latter in written form.

## **ARTICLE 23 – SUSPENSION OF THE FINANCING AGREEMENT**

- 23.1 The Financing Agreement may be suspended in the following cases:
- The Commission may suspend the implementation of the Financing Agreement if the Beneficiary breaches an obligation under the Financing Agreement, and notably if it ceases to satisfy the decentralisation criteria laid down, where relevant, in the Special Conditions.
  - The Commission may suspend the implementation of the Financing Agreement if the Beneficiary breaches any obligation set under the procedures and standard documents laid down and published by the Commission for the award and implementation of contracts and grants.

- The Commission may suspend the Financing Agreement if the Beneficiary breaches an obligation relating to respect for human rights, democratic principles and the rule of law and in serious cases of corruption.
  - The Financing Agreement may be suspended in cases of force majeure, as defined below. "Force majeure" shall mean any unforeseeable and exceptional situation or event beyond the parties' control which prevents either of them from fulfilling any of their obligations, is not attributable to error or negligence on their part (or the part of their contractors, agents or employees) and proves insurmountable in spite of all due diligence. Defects in equipment or material or delays in making them available, labour disputes, strikes or financial difficulties cannot be invoked as force majeure. A party shall not be held in breach of its obligations if it is prevented from fulfilling them by a case of force majeure of which the other party is duly informed. A party faced with force majeure shall inform the other party without delay, stating the nature, probable duration and foreseeable effects of the problem, and take any measure to minimise possible damage.
- 23.2 No prior notice shall be given of the suspension decision.
- 23.3 The Commission may take any appropriate precautionary measure before suspension takes place.
- 23.4 When the suspension is notified, the consequences on the ongoing contracts and programme estimates or contracts and programme estimates to be signed will be indicated.
- 23.5 A suspension of the Financing Agreement is without prejudice to the suspension of payments by the Commission for the sake of ensuring sound financial management or protecting the EU's financial interests.

#### **ARTICLE 24 – TERMINATION OF THE FINANCING AGREEMENT**

- 24.1. If the issues which led to the suspension of the Financing Agreement have not been resolved within a maximum period of 180 days, either party may terminate the Financing Agreement at 30 days' notice.
- 24.2. Where a Financing Agreement has not given rise to any payment within three years of its signature or no implementing contract or programme estimates has been signed within this period, that Financing Agreement will be terminated.
- 24.3 When the termination is notified, the consequences on the ongoing contracts and programme estimates or contracts and programme estimates to be signed will be indicated.

#### **ARTICLE 25 - DISPUTE-SETTLEMENT ARRANGEMENTS**

- 25.1 (*Applicable to the ACPs*) Any dispute concerning the Financing Agreement which cannot be settled within a six-month period by the consultations between the Commission and the Beneficiary provided for in Article 21 of these General Conditions shall be submitted to the ACP-EC Council of Ministers. Between meetings of the ACP-EC Council of Ministers, such disputes shall be submitted to the ACP-EC Committee of Ambassadors. If the

ACP-EC Council of Ministers or where appropriate the ACP-EC Committee of Ambassadors does not succeed in settling the dispute, either party may request settlement of the dispute by arbitration.

*(Applicable to the OCTs)* Any dispute concerning the Financing Agreement which cannot be settled within a six-month period by the consultations between the Commission and the Beneficiary provided for in Article 21 of these General Conditions may be settled by arbitration at one of the parties' request.

- 25.2 In this case the parties shall designate an arbitrator within 30 days of the request for arbitration. Failing that, either party may ask the Secretary-General of the Permanent Court of Arbitration (The Hague) to designate a second arbitrator. The two arbitrators shall in their turn designate a third arbitrator within 30 days. Failing that, either party may ask the Secretary-General of the Permanent Court of Arbitration to designate the third arbitrator.
- 25.3 Unless the arbitrators decide otherwise, the procedure laid down in the Permanent Court of Arbitration Optional Rules for Arbitration Involving International Organisations and States shall apply. The arbitrators' decisions shall be taken by a majority within a period of three months.
- 25.4 Each party shall be bound to take the measures necessary for the application of the arbitrators' decision.

## **ANNEX II TO FINANCING AGREEMENT N° FED/2013/024-953**

### **TECHNICAL AND ADMINISTRATIVE PROVISIONS**

<b>BENEFICIARY COUNTRY / REGION</b>	Caribbean Forum of the ACP States		
<b>REQUESTING AUTHORITY</b>	Regional Authorising Officer		
<b>BUDGET HEADING</b>	10 <sup>th</sup> EDF Regional Indicative Programme (A envelope)		
<b>TITLE</b>	CARIFORUM Crime and Security Cooperation Programme		
<b>TOTAL COST</b>	TOTAL: EUR 12,585,000 EU CONTRIBUTION: EUR 12,195,000 THIRD PARTY CONTRIBUTION: EUR 390,000		
<b>AID METHOD/ MANAGEMENT MODE</b>	Project approach <b>Partially decentralised and Direct Centralisedmanagement</b>		
<b>DAC-CODE</b>	16063	<b>SECTOR</b>	Narcotics control

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## **1. DESCRIPTION**

According to the Caribbean Human Development Report on Citizen Security (UNDP 2012), violence and crime in the Caribbean and Latin America (LA) erodes the foundation of the democratic processes in the region and imposes high social, economic and cultural costs. This report states that these sub-regions are home to 8.5 percent of the world's population, yet it concentrates some 27 percent of the world's homicides. Violence and crime are therefore perceived by a majority of LA and Caribbean citizens as a top pressing challenge. The Caribbean is a critical and central transit route between drug producers and large-scale consumers. The UNODC World Drug Report 2012 makes reference to a casual relationship between high homicide rates being experienced in the Caribbean and LA and organised crime and conflicts, related to cocaine trafficking flows and cocaine markets. The illicit drug economy is a threat to vulnerable economies, with its impact being reflected in high absenteeism rates, high public health costs, reduction in productivity, increased crime and violence, corruption and the erosion of good governance. A key message of the report is for Caribbean countries to focus on a model of security based on the human development approach, where citizen security is paramount, instead of the traditional state security model, whereby the protection of the state is the chief aim.

Importantly, emanating from the EU-CELAC Summit in Santiago in January 2013 is the EU-CELAC Santiago Action Plan 2013-2015 and Declaration, which identifies the drug problem as one of its 8 key thematic areas. The plan aims *to strengthen bi-regional dialogue and effectiveness of joint efforts to tackle the world drug problem as identified and developed in the framework of the EU-LAC Coordination and Cooperation Mechanism on Drugs in accordance with the principle of common and shared responsibility through an integrated and balanced approach and in conformity with international law*. The Declaration specifically mentions, among other areas in this AF, strengthening of regional security cooperation structures and fostering regional cooperation in the fight against illicit drugs and related crimes, in the Caribbean under the 10th EDF regional programme.

Against this background, a comprehensive, multi-faceted approach to crime and security is needed, one that focuses on drug demand and supply reduction, crime prevention and social development, capacity-building of law enforcement and security agencies, and enhanced cooperation with third states. The recent approval of the CARICOM Crime and Security Strategy (CCSS), in February 2013, gives such direction. Its main goal is to significantly improve citizen security, create a safe, just, and free Community, while simultaneously improving the region's ability to ensure sustained economic viability. The strategy builds on existing regional security mechanisms and highlights CARICOM's own security as directly linked to the dynamics of the international world. Whilst the region strengthens its relationship with traditional partners such as the EU, the United Kingdom, the USA, Canada and Australia, it will seek to harness new opportunities with other strategic partners to advance security interests. The CARIFORUM approach is to therefore build the region's capacity and institutional response to address these issues. Strategic choices and activities to be carried in this AF out are based on priorities established by CARIFORUM States, specified in the CCSS; the Caribbean Regional Economic Integration and Cooperation Roadmap which is consistent with the CRIP 2008-2013; a number of studies and strategy papers including the Revised Regional DDR Strategy ; the Strategic Institutional Plan of the Dirección Nacional de Control de Drogas (DNCD) (National Dugs Control Directorate of the Dominican Republic) and the National Drug Strategy of the Dominican Republic 2008-2012 and the Counter Illicit Trafficking Strategy currently in draft form<sup>1</sup>; and the revised CARICOM Social and Development Crime Prevention Action Plan. Also, CARICOM signed a Plan of Action, currently under revision, with the Central American Integration System (SICA) in 2007, which included a mechanism on information sharing in the crime and security sector. Finally, crime and security constitutes one of the 5 pillars of the Joint CARIFORUM-EU Partnership Strategy.

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<sup>1</sup> The Counter Illicit Trafficking Strategy, formerly known as the draft Regional Narcotics Strategy has been reworked to reflect the current realities of the Region, and taking into consideration illicit arms and human trafficking, and is expected to be finalized by June 2013.

***An initial logical framework is attached. It may be updated or adapted without an amendment to the financing agreement as long as these modifications do not change the objectives of the project.***

### **1.1. Objectives**

The **overall objective** is to contribute to the overall safety of citizens and improvement of the security environment in the CARIFORUM region.

The **purpose** is to strengthen the region's capacity to reduce drug demand, prevent crime and violence and combat illicit drug trafficking and the related transnational criminal activity and financial crime.

The multi-dimensional nature of the illicit drug trade, drug addiction and crime and violence requires an integrated, inter-sectoral approach which takes into consideration a number of cross-cutting issues. Chief among these are the promotion of good governance to address, in part, issues such as corruption and financial crimes, and human rights approach which takes into consideration weaknesses in the existing institutional framework and interventions for groups considered to be particularly at risk i.e. women, children, and youths. Consideration will also be given to environmental sustainability as well as culture (visual and performing arts) as a means of enhancing resilience against violent behaviours in at risk youth. Targeted interventions include the development of engendered treatment and rehabilitation facilities and legislation against gender-based violence; reforms to the justice system and correctional services, and empowerment and training to facilitate employability of youths at risk. The programme interventions and activities will contribute to building capacity for apt response to and or mitigate adverse conditions associated with, *inter alia*, the cross cutting issues identified.

### **1.2. Expected results**

The CARIFORUM approach is to build the region's capacity and institutional response to address illicit drug trafficking by focusing on drug supply and demand reduction; regional cooperation and crime prevention; and human development. Strategic choices with regard to the activities to be carried out are based on the priorities established by CARIFORUM States, specified in the CCSS 2012; the Caribbean Regional Economic Integration and Cooperation Roadmap which is consistent with the CRIP 2008-2012; the Revised Regional DDR Strategy (endorsed by CARICOM Council for Human and Social Development); the Strategic Institutional Plan of the Direccion Nacional de Control de Drogas (DNCD) (National Dugs Control Directorate of the Dominican Republic) and the National Drug Strategy of the Dominican Republic 2008-2012 and the Counter Illicit Trafficking Strategy currently in draft form; the revised CARICOM Social and Development Crime Prevention Action Plan(integral to the Region's crime and security framework); the EU-CELAC Santiago Action Plan 2013-2015; and the Joint CARIFORUM-EU Partnership Strategy; and the signed Plan of Action between CARICOM and the Central American Integration System (SICA) in 2007.

*The Project will focus on achieving the following expected results:*

1. Effective implementation of drug demand reduction strategies, policies and programmes in CARIFORUM.
2. Effective implementation of CARIFORUM drug supply control initiatives together with enhanced coordination and dialogue with Latin America.
3. Increased Crime and violence prevention in CARIFORUM.

### **1.3. Activities and implementation timetable**

#### ***Activity 1.1 CARIFORUM Drug Demand Reduction (DDR)***

1.1.1 Strengthening human, technical and institutional capacity of DDR institutions, systems and networks by providing training, technical assistance, promoting exchanges inside the region and between the region and other neighbouring regions and the EU(includes Technical support to review and update National Drug Policies and Plans of Actions);

- ;
- 1.1.2 Development and delivery of culturally and age appropriate, gender sensitive DDR prevention education programmes in schools and communities including by launching raising awareness activities, promoting information campaigns, integrating DDR prevention messages in education programmes and engaging with schools, youth organisations and families;
  - 1.1.3 Delivery of culturally and age appropriate, gender sensitive treatment and rehabilitation services and interventions targeting in particular vulnerable groups. This includes promoting social rehabilitation programmes for former addicts, detainees and deportees;
  - 1.1.4 Research undertaken to inform drug policies and interventions, including studies and assessment to evaluate, propose and promote alternatives to incarceration;
  - 1.1.6 Promoting Programme visibility and a documentary about the programme approach, explaining the methodology followed and the specific objectives of the action.

***Activity 2.1 CARIFORUM Drug Supply Control Initiatives with enhanced coordination and dialogue with Latin America***

The concept of Integrated Border Management (IBM) is captured in part by the promotion of trade and traffic facilitation in the components for IMPACS and RSS, where explicit references are made in the Detailed Activities, such as for IMPACS in the reinforcement of border security capacity, forensic capacity and that of Information and Intelligence Resources; Harmonization and standardization of operating procedures, policies utilized by customs and immigration officials. Regarding RSS, strengthening the capacities of law enforcement and border security personnel to address illicit trafficking in RSS Member States. Further, these actions emanate and are directly aligned to targeted key goals of the CARICOM Crime and Security Strategy (CCSS), Goals 4 (Increase Trans-border Intelligence and Information Sharing) and 6 (Enhance Maritime and Airspace Awareness, Strengthen CARICOM Borders including Contiguous Land Borders).

**Activity 2.1.1 IMPACS Activities**

- 2.1.1.1 Border Security capacity and institutional enhancement
  - Expansion of the Advanced Passenger Information System (APIS) in CARIFORUM
  - Establishment of the Advanced Cargo Information System (ACIS) in CARIFORUM
- 2.1.1.2 Strengthening border security to support harmonization and standardization of practices, policies and procedures utilized by customs and immigration officials (Cross-fertilisation activities, seminars, trainings and development of comparative studies and analyses)
- 2.1.1.3 Enhancement of Forensic capacity - Upgrade and Expansion of Regional Ballistic Information Network (RIBIN)
- 2.1.1.4 Capacity Building of Information and Intelligence Resources in CARIFORUM
- 2.1.1.5 Cooperation between the CARICOM Intelligence Community and Latin America and Caribbean Community of Police Intelligence
- 2.1.1.6 Strengthening cooperation between CARICOM (IMPACS) and the DR (DNCD)

**Activity 2.1.2 RSS Activities**

- 2.1.2.1 Strengthening the capacities of law enforcement and border security personnel to address illicit trafficking in RSS Member States
  - Specific training to improve capacities to detect, investigate and prevent incidences of trafficking in drugs, illicit arms and human beings
  - Specific training to improve technical and managerial skills of law enforcement and correctional officers
  - Create exchange and cross-fertilisation opportunities (taking advantage of expertise of staff working in other agencies and outside the region) to strengthen skills, attitudes and competencies of law enforcement officers when dealing with drug addiction, domestic violence and sexual offences promoted



2.1.2.2 Enhancing existing rehabilitation projects in Correctional Facilities for drug offenders and provide them with alternative skills in order to pave the way to their social rehabilitation;  
Create new rehabilitation programmes for vulnerable groups and explore alternatives to incarceration.  
2.1.2.3 Enhancing Public Awareness about Key Law Enforcement issues Including Drug Enforcement and Human Rights issues

**Activity 2.1.3 CFATF Activities**

2.1.3.1 Strengthening the capacity of CFATF members and FIUs in financial investigative and financial intelligence to assist in the fight against crime  
- Regional training to financial investigators and analysts developed and conducted  
- Financial investigators and analysts mentored and accredited

**Activity 2.1.4 CARIFORUM strengthened cooperation with Latin America**

2.1.4.1 Institutionalising a mechanism for structured dialogue between CARIFORUM and Latin America on Crime and Security  
2.1.4.2 Collaborating on joint actions, where possible establishing agreements between CARIFORUM and COPOLAD for regional meetings and human resource development  
2.1.4.3 Central assistance to strengthen the monitoring of money laundering networks  
2.1.4.4 Strengthening DR Drug Supply Control Initiatives - DNCD Activities  
- Institutional capabilities and equip intelligence and special operations units for better intervention on interdiction operations developed  
- DNCD members working in areas and strategic facilities with high risks of narcotic smuggling, controlled substances and chemicals precursor trained  
- Organise specific capacity building activities such (training, seminars, exchanges) targeting the financial investigation department of the DNCD.

**Activity 3.1 CARIFORUM Crime and Violence Prevention and Social Development**

3.1.1 Prevention/reduction of crime and violence in CARIFORUM States through awareness raising, the creation of peer support mechanisms; studies, analyses and seminars to review current social protection programmes and discuss ways to expand their coverage and include pronounced elements of violence/crime prevention;  
3.1.2. Reduction/elimination of intra-family and domestic violence through empowering victims and providing support to reduce victimization  
3.1.3 Reduction of recidivism and promotion of social inclusion and reintegration of offenders in CARIFORUM States through strengthened institutional response, policy and legislative frameworks  
3.1.4 Support to facilitate communication, sharing of good practices and development of products from training workshop to support in country implementation

Further details of all activities listed above are included in Appendix 1 to TAPS - Logical Framework Matrix, while these activities will be implemented according to the timetable attached as Appendix 2.

## **2. LOCATION AND DURATION**

### **2.1. Location**

The project will benefit CARIFORUM and will be located in Barbados (RSS), the Dominican Republic (DNCD), Trinidad & Tobago (IMPACS and CFATF) and Guyana (CARICOM Secretariat).

### **2.2. Duration**

The execution period of the Agreement will be as specified in Article 5 of the Special Conditions.

### **3. IMPLEMENTATION**

In order to implement this action, it is foreseen to conclude a financing agreement with the partner country, referred to in Article 17 of Annex IV to the Cotonou Agreement.

#### **3.1 Degree of decentralisation**

The Regional Authorising Officer (RAO) of CARIFORUM, acting as Contracting Authority, will have the overall responsibility for the Programme, which will be implemented through **partially decentralised management and direct centralised management**, in accordance with Articles 21 to 29 of the Financial Regulation of the 10<sup>th</sup> EDF as outlined below.

##### ***3.1.1 Partially decentralised management***

The following activities will be implemented via **partially decentralised management**:

- *Activity 1.1, CARIFORUM Drug Demand Reduction (DDR), Activity 3.1 CARIFORUM Crime and Violence Prevention and Social Development*, will be implemented by the CARICOM Secretariat.
- Sub-activity 2.1.1 will be under the responsibility of the DRAO designated in Trinidad with IMPACS acting as implementing agency.
- Sub-activity 2.1.4 will be under the responsibility of the DRAO designated in Dominican Republic with DNCD acting as implementing agency.

The contracts shall be concluded by the Beneficiary except for the cases specified under section 3.2. The Commission controls ex ante the contracting procedures for procurement contracts > 50,000 euro and for all grant contracts, and ex post for procurement contracts ≤ 50,000 euro.

All contracts implementing the project must be awarded and implemented in accordance with the procedures and standard documents laid down and published by the Commission for the implementation of external operations, in force at the time of the launch of the procedure in question.

The preparation, management and implementation of the project programme estimates must comply with the rules and procedures set out in the Practical guide to procedures for programme estimates.

Through the programme estimates, payments are decentralised for operating costs and contracts only where the award procedure for the relevant contract has been decentralised and up to the following ceilings:

Works	Supplies	Services	Grants
< 300,000 EUR	< 300,000 EUR	< 300,000 EUR	≤ 100,000 EUR

### **3.2. Exceptions to the conclusion of contracts by the Beneficiary**

Due to the specific and sensitive nature of the foreseen interventions, the following sub-activities will be implemented via centralised management:

- EU Delegation in Barbados will be in charge of sub-activity 2.1.2 with implementing partner RSS;
- EU Delegation in Trinidad & Tobago will be in charge of sub-activity 2.1.3 with implementing partner CFATF.

Contracts relating to external evaluation and audit shall be concluded by the Commission on behalf of the Beneficiary.

### **3.3 Organisational set-up and responsibilities**

#### **3.3.1. Direct implementation**

The contracting authority for the project shall be the relevant representative of the Regional Authorising Officer.

A Programme Steering Committee (PSC) shall be set up to oversee and validate the overall direction and policy of the project. The PSC shall meet once a year. The CARIFORUM Directorate will set up and operate an effective coordinating mechanism and facilitate the annual PSC meetings.

The PSC shall be made up of the RAO (or representative), the contracting authority and representatives of: DRAOs, IMPACS, RSS, CFATF, DNCD, NCD, Human and Social Directorate of the CARICOM Secretariat, CARIFORUM Directorate, representatives of the EU Delegation (with observer status) and of civil society.

Technical Oversight Committees (TOCs) for all components/subcomponents will be set up by the implementing agencies where equivalent bodies do not yet exist, in order to monitor the performance of the programme and to provide in-country technical support as requested by CARIFORUM States. Each TOC will meet at least twice a year and report to the PSC.

In the case of programme estimates in 3.1.1 above, the following implementation type applies:

An imprest administrator and an imprest accounting officer for each activity outlined in 3.1.1 above, with CARICOM Secretariat, IMPACS and the DNCD, respectively, shall be assigned to the management and implementation of the project by the relevant representative of the Regional Authorising Officer, in agreement with the Head of Delegation. In accordance with the powers delegated to them by the relevant representative of the Regional Authorising Officer, the imprest administrator and the imprest accounting officer shall draw up and implement consecutive programme estimates, award contracts and grants, commit expenditure and make the corresponding payments. The imprest administrator and the imprest accounting officer shall submit their technical and financial reports (where applicable, to the project steering committee and) to the relevant representative of the Regional Authorising Officer and to the Head of Delegation.

### 3.3.3 Reporting

- (a) In case of partially decentralised management with application of the practical guide to procedures for programme estimate: the reporting will be carried out pursuant to the rules and procedures set out in the practical guide to procedures for programme estimates.
- (b) Regarding the grants agreements with RSS and CFATF, detailed reporting methodology will be outlined in the respective grant contracts signed.

### 3.4 Budget

The total project cost is estimated at EUR 12,585,000 million, of which EUR 12,195,000 million shall be financed from the RIP in the framework of the revised ACP-EU Partnership Agreement. Contributions by RSS of EUR 300,000 and CFATF of EUR 90,000 will be made:

The budget sets out as follows:

Categories	EU contribution	Government contribution	Institutions Contribution	Total
	EUR	EUR	EUR	EUR
1.1 CARIFORUM Drug Demand Reduction	2,500,000	.....	.....	2,500,000
2.1 CARIFORUM Drug Supply Control Initiatives	7,135,000			7,135,000
2.1.1 IMPACS Activities	3,360,000			3,360,000
2.1.2 RSS Activities	1,112,000	.....	300,000	1,412,000
2.1.3 CFATF Activities	1,233,000		90,000	1,323,000
2.1.4 CARIFORUM strengthened cooperation with Latin America	1,430,000			1,430,000
3.1 CARIFORUM Crime and Violence Prevention and Social Development	2,300,000	.....	.....	2,300,000
Communication/Visibility (Provisions made under each component totalling €100,000)	.....	.....	.....	.....
External Evaluation (For Audit provisions are made under each component, all totalling €320,000)	200,000	.....	.....	200,000
Contingencies *	60,000	.....	.....	60,000
<b>TOTAL</b>	<b>12,195,000</b>	<b>.....</b>	<b>390,000</b>	<b>12,585,000</b>

Type of Activity	Type of Procedure	Indicative Number
1.1 CARIFORUM Drug Demand Reduction	Programme Estimate	4
2.1.1 IMPACS Activities	Programme Estimate	3
2.1.2 RSS Activities	Grant	1
2.1.3 CFATF Activities	Grant	1

2.1.4 CARIFORUM strengthened cooperation with Latin America	Programme Estimate	3
3.1 CARIFORUM Crime and Violence Prevention and Social Development	Programme Estimate	4

## **4. MONITORING, EVALUATION AND AUDIT**

### **4.1 Monitoring**

(a) Continuous technical and financial monitoring is the RAO's responsibility. The RAO shall establish a technical and financial, monitoring system to the project, which will generate progress reports and safeguard internal control.

(b) The Commission may carry out Results Oriented Monitoring (ROM) via independent consultants, starting from the sixth month of project activities, which will be finalised at the latest 6 months before the end of the operational implementation phase.

(c) The CARIFORUM Directorate along with implementing DRAOs (Barbados, Guyana, Dominican Republic and Trinidad & Tobago) will closely monitor the overall implementation of the programme using, among others the objectively verifiable indicators of achievement as indicated in the attached logical framework. The Directorate will set up and operate an effective coordinating mechanism and facilitate annual overall Programme Steering Committee (PSC) Meetings. The PSC will give strategic guidance and oversee implementation of the programme as a whole and will comprise of the RAO (or representative), representatives of the DRAOs, implementing agencies, civil society, the CARIFORUM Directorate, and the European Union (as observer). Technical Oversight Committees (TOCs) for all components/subcomponents will be set up by the implementing agencies where equivalent bodies do not yet exist, to monitor the performance of the programme and to provide in-country technical support as requested by CARIFORUM States. Each TOC will meet at least twice a year and report to the PSC.

### **4.2 Evaluation**

a) The Commission will carry out external evaluations via independent consultants, as follows:

- a mid-term evaluation mission;
- a final evaluation, at the beginning of the closing phase.

b) The Beneficiary and the Commission shall analyse the conclusions and recommendations of the mid-term evaluation and jointly decide on the follow-up action to be taken and any adjustments necessary, including, if indicated, the reorientation of the project. The reports of the other evaluation and monitoring missions will be given to the Beneficiary, in order to take into account any recommendations that may result from such missions.

c) The Commission shall inform the Beneficiary at least two months in advance of the dates foreseen for the external missions. The Beneficiary shall collaborate efficiently and effectively with the monitoring and/or evaluation experts, and inter alia provide them with all necessary information and documentation, as well as access to the project premises and activities.

### **4.3 Audit**

- (a) The Commission shall appoint, in accordance with EU procurement rules, an internationally recognised external auditor. The auditor's role shall include:
1. Monitoring the project's expenditure and auditing on a yearly basis the project's accounts for submission to the Commission.
  2. In case of programme estimates, ensuring that the segregation of duties between the Authorising Officer and the Accounting Officer is in place and respected and that the monitoring of expenditure complies with the rules and procedures established in the practical guide to procedures for programme estimates.
- (b) In the case where the audits carried out identify non-eligible expenditure, the following procedure is applicable:
- The Commission shall send the Beneficiary a report concerning the non-eligible expenses;
  - The Beneficiary shall submit its comments to the Commission within one month following its reception of the report;
  - The Commission will communicate its final decision concerning the non-eligible expenses to the Beneficiary;
  - The Beneficiary shall transfer the non-eligible amount to the project account within 45 days following communication of the Commission's final decision. In the case that this deadline is not respected, the Commission may withhold this amount from future payments to the project account.

## **5. COMMUNICATION AND VISIBILITY**

The visibility guidelines of the European Union with regard to programmes funded via the EDF will be respected ([http://ec.europa.eu/europeaid/visibility/index\\_en.htm](http://ec.europa.eu/europeaid/visibility/index_en.htm)). Under guidance from CARIFORUM, implementing agencies will be responsible for ensuring that appropriate and adequate communication and visibility actions are undertaken during project implementation.

Financial provisions have been made in each component, and will therefore be included in the Programme Estimates and Grants to be signed for each component. As part of this, each implementing institution will update their respective websites regarding the activities undertaken in this programme. In addition, CARIFORUM will use funds from its 2 components (Components 1 & 3) to ensure global visibility of the entire programme. Visibility guidelines of the European Union with regard to programmes funded via the EDF will be respected.

## **6. PRE-CONDITIONS**

N/A

## **7. APPENDICES**

Appendix 1 – Logical framework

Appendix 2 – Indicative operational timetable

# APPENDIX 6 to TAPS – Detailed Activities – CARIFORUM CRIME AND SECURITY PROGRAMME

## APPENDIX 1 to TAPS - Logical Framework Matrix – CARIFORUM CRIME AND SECURITY PROGRAMME

	Project description	Objectively verifiable indicators of achievement	Sources and means of verification	Assumptions
<b>Overall objective</b>	To contribute to the overall safety of citizens and improvement of the security environment in the CARIFORUM region.	Reduction of criminal activity and violence in the region by at least 5%  CARIFORUM residents feel more secure	Multi-Lateral Evaluation Mechanism (MEM) for the Caribbean and the DR National/community Surveys	
<b>Programme purpose</b>	To strengthen the region's institutional capacity and response to reduce drug demand, prevent crime and violence and combat illicit drug trafficking and the related transnational criminal activity and financial crime.	<ul style="list-style-type: none"> <li>10 % increase in human and technical capacity and institutional response of IMPACS, RSS, and CFATF to reduce drug demand and combat illicit drug trafficking and related transnational criminal activity and financial crime</li> <li>10% increase in the human and technical capacity and institutional response of national drug councils to successfully reduce the demand for illicit drugs and alcohol</li> <li>10% reduction of youth crime and violence</li> <li>Financial investigation and analytical skills and standards harmonised</li> </ul>	<p>Reports of CARIFORUM, IMPACS, CFATF, RSS, CARICOM Secretariat, CND, DNCD, EU; monitoring and evaluation reports</p> <p>Police and prison records and data base, statistics and records from hospitals and accident and emergency units (public and private )</p> <p>Community surveys,</p>	<ul style="list-style-type: none"> <li>Regional governments continuing commitment to strengthen regional security cooperation structures and fostering regional cooperation in the fight against illicit drugs and related crimes</li> <li>Regional governments will strengthen cooperation against drug related money laundering, including recovery of the proceeds of crime</li> <li>Regional governments continue to provide adequate resources to LEAs, and NDCs and FIUs.</li> </ul>
<b>Expected results</b>	1. Effective implementation of drug demand reduction strategies, policies and programmes in CARIFORUM.	<ul style="list-style-type: none"> <li>10% reduction in use of drugs by youth</li> <li>15% Improved access to treatment and rehabilitation facilities for women and adolescents/youth</li> <li>15% increase in the types of treatment modalities for drug addiction available</li> <li>Improved gender-sensitive and age appropriate treatment and rehabilitation services/programmes expanded by 15%</li> <li>Drug prevention education and behaviour change programmes implemented in eight countries</li> <li>Increased capacity of trained graduates to implement drug demand and reduction strategies, policies and programmes</li> <li>School drug policy adopted in at least eight countries</li> <li>Standard of Care for Treatment and rehabilitation adopted</li> </ul>	<p>Reports and statistics from government ministries; information from NGOs and FBOs providing services; and evaluation reports</p> <p>Surveys, audits of treatment facilities</p>	<ul style="list-style-type: none"> <li>Member states committed to adapt drug policies</li> <li>Stakeholders willing to participate in training and in mechanism to address language barrier</li> <li>Stakeholders are committed to enhancing information sharing to address the world drug problem through policy development and capacity building</li> <li>Regional governments will promote initiatives to address the consequences of the world drug problem on the environment, in accordance with national</li> </ul>

		<p>and implemented in seven countries</p> <ul style="list-style-type: none"> <li>Improved statistical data and analysis for programme monitoring and evaluation</li> <li>Drug treatment courts established in at least five additional countries</li> <li>National Drug Policy revised and implemented in at least five countries</li> <li>15% increase in implementation of the MEM recommendations</li> </ul>		<p>policies</p> <ul style="list-style-type: none"> <li>MoU will be signed between the CARICOM Secretariat and DR implementing partners to establish the formal relationship for project implementation</li> </ul>
	2. Effective implementation of drug supply control initiatives together with enhanced coordination and dialogue with Latin America.	<ul style="list-style-type: none"> <li>Increased detection and exclusion of persons that pose a threat to security in 3 additional CARICOM Member States</li> <li>Increased detection rate and exclusion of illegal cargo in all CARICOM Member States</li> <li>Enhanced institutional capacity, to prevent, detect and minimise the opportunity for illegal activity at the borders;</li> <li>IMPACS APIS operational in all 15 CARICOM member states</li> <li>IMPACS ACIS established and operational in 10 CARICOM member states</li> <li>APIS, ACIS established and operational in the DR (provisional - pending decision of CARICOM)</li> <li>Increased detection and conviction rates of firearms and ammunition trafficking</li> <li>Enhanced capabilities of law enforcement and border security personnel to address illicit trafficking and related criminal activity in RSS Member States</li> <li>Institutionalised Mechanism for structured dialogue between CARIFORUM and Latin America on Crime and Security</li> <li>Increased cooperation CARIFORUM and Latin American countries on joint actions</li> <li>Improved Operational Readiness Levels and Response Capacity of the DNCD increased by 50%</li> <li>Increased seizures of narcotic drugs and precursor chemicals in Ports, Airports and Borders by 10%</li> <li>Increased money laundering investigations, convictions and confiscation of assets</li> </ul>	<p>Crime Statistics from Member States</p> <p>Reports from Immigration and Customs Authorities in CARICOM Member States</p> <p>IMPACS Research and Evaluation Reports</p>	<ul style="list-style-type: none"> <li>IMPACS will continue to work with the CARICOM Secretariat and through its Standing Committees of Commissioners of Police, Chief of Immigration, Chiefs of Customs and Military Heads to assist member states in advancing their legislation to ensure that APIS and ACIS are installed and operational in a timely manner</li> <li>Stakeholders willing to participate in joint actions on cooperation between CARIFORUM And LA</li> <li>Stakeholders are committed to enhance information sharing</li> </ul>
	3. Increased crime and violence prevention in CARIFORUM.	<ul style="list-style-type: none"> <li>Reduction of domestic/intra-family violence in seven countries</li> <li>Reduction in recidivism of youth in six countries</li> <li>Increased entry/re-entry of adolescent mothers to the</li> </ul>	<p>Summary records of CFATF FIU Heads Forum/Plenary and Ministerial meetings;</p>	<ul style="list-style-type: none"> <li>Key agencies and networks will collaborate to address crime and violence preventions in CARIFORUM</li> <li>Intelligence and law enforcement</li> </ul>



		<p><i>formal education system in seven CARIFORUM countries</i></p> <ul style="list-style-type: none"> <li>• Full implementation of Partnering for Social Development and Crime Prevention project, targeting youth gangs and violence in at least six countries</li> <li>• Increased community policing implemented in seven countries</li> <li>• Increased reintegration of youth in society in four countries</li> <li>• Reduction of drug-related crime and violence in five countries</li> </ul>	<p>National Central Statistical Reports in CFATF member countries; third and fourth Round Mutual Evaluation and follow-up reports; US department of State INCSR report; reports by the UK Eastern Caribbean Financial Investigation Advisory Team.</p> <p>Programme implementation report. CARICOM reports</p> <p>Monitoring, evaluation and audit reports,</p>	<p><i>institutions will cooperate to reduce criminal activities</i></p> <ul style="list-style-type: none"> <li>• Skill set of financial investigators and analysts will be improved through the use of modern tools</li> <li>• Stakeholders willing to participate in training and in mechanism to address language barrier</li> <li>• Stakeholders are committed to enhance information sharing</li> <li>• MoU will be signed between the CARICOM Secretariat and DR implementing partners to establish the formal relationship for the Crime Prevention and Social Development sub-component</li> <li>• Member States will expedite promulgation of legislation</li> <li>• Legal Affairs Committee will continue support and will expedite promulgation of legislation</li> <li>• Member States will support the initiative</li> <li>• Relevant ministries and organizations (ACCP) willing to collaborate;</li> </ul>	
<b>Activities</b>		<b>Objectively verifiable indicators of achievement /Means</b>	<b>Indicative costs in EUR</b>	<b>Assumptions</b>	
<b>1.1</b>	<b>CARIFORUM Drug Demand Reduction (DDR) Implementation period: 5 years</b>	<p><u>Means:</u> Training; assessment; in-situ Visits/in-country technical support; study exchange technical assistance and services; Workshops/meetings and piloting of programmes/projects</p>	<p><b>2,500,000 from EU contribution</b></p>		

1.1.1	Strengthening human, technical and institutional capacity of DDR institutions, systems and networks	<ul style="list-style-type: none"> <li>• Technical support to review and update National Drug Policies and Plans of Action in eight countries</li> <li>• Assessment of dormant/incapacitated Drug Councils undertaken and technical support provided for the implementation of remedial actions.</li> <li>• Drug policies developed/up-dated and approved in at least five countries</li> <li>• DDR content integrated into curriculum in at least 15% of universities</li> <li>• At least 14 Training workshops (two per topic per annum) targeting government and NGO service providers conducted to build capacity in the areas of: data collection and analysis ; drug treatment courts; programme monitoring and evaluation, development and implementation of interventions for adolescence and youths; development and implementation of gender sensitive services and programmes; development of National Drug Policy; and prevention education</li> <li>• Translation of relevant DDR related material in English and in Spanish</li> </ul>	<ul style="list-style-type: none"> <li>• Member states committed to adapt drug policies</li> <li>• Stakeholders willing to participate in training and in mechanism to address language barrier</li> <li>• Stakeholders are committed to enhance information sharing</li> <li>• Senior officials of Universities and faculties willing to participate and support implementation</li> <li>• For translation services required, the Caribbean Regional Information and Translation Institution (CRITI) will be engaged as far as possible within the EU procurement guidelines</li> <li>• Relevant staff including one Senior Project Officer, one Programme manager and one Project assistant can be timely recruited to successfully implement the activities, monitoring and reviewing</li> </ul>		<ul style="list-style-type: none"> <li>• Member states committed to adapt drug policies</li> <li>• Stakeholders willing to participate in training and in mechanism to address language barrier</li> <li>• Stakeholders are committed to enhance information sharing</li> </ul>
1.1.2	Development and delivery of culturally and age appropriate, gender sensitive DDR prevention education programmes in schools and communities	<ul style="list-style-type: none"> <li>• Two prevention programmes (character first and enter-educate for drug prevention) to address substance abuse and its relationship to STIs (including HIV/AIDS), violence and injuries developed and piloted in three schools in 3 countries annually.</li> <li>• An assessment on risk, resilience and protective factors for drug use among, in and out of school youths conducted</li> <li>• Targeted DDR prevention education programmes developed, piloted and delivered in at least 200 schools and 200 communities</li> <li>• Tools and messages developed and technical support provided for Media campaign to prevent drug use/abuse in high risk communities in the DR</li> </ul>	<ul style="list-style-type: none"> <li>• Ministries of Education and School Administrators willing to allow access to schools and supportive of programmes</li> </ul>		
1.1.3	Delivery of culturally and age appropriate, gender sensitive treatment and rehabilitation services and interventions	<ul style="list-style-type: none"> <li>• Training modules for youth and gender sensitive treatment and rehabilitation developed, translated as appropriate and implemented</li> <li>• At least 300 persons trained as trainers to deliver youth and gender sensitive treatment and rehabilitation</li> <li>• At least five Regional Training of Trainers workshop provided for prison officers to implement treatment and rehabilitation interventions for prisoners</li> <li>• Training kits developed, replicated (2000 copies) and</li> </ul>	<ul style="list-style-type: none"> <li>• The DR is willing to adopt the Regional Standards of Care</li> <li>• Government willing to support the establishment of Low Threshold Centres</li> <li>• DR is accepting of the CARICOM Universal Standard of Care Manual as a platform from which to work</li> </ul>		

		disseminated to all CARIFORUM countries (integrating gender sensitive interventions in treatment and rehabilitation programmes and centres; and Drug Treatment and rehabilitation interventions for adolescents and youths)	<ul style="list-style-type: none"> <li>CARICOM Universal Standard of Care for Treatment translated into Spanish and reviewed for implementation by relevant agencies in the DR</li> <li>Minimum standard of care (CARICOM Universal Standard of Care for Treatment) for drug treatment and rehabilitation piloted in at least seven countries.</li> <li>Six training workshops conducted for the implementation of the Standard of Care for drug treatment and rehabilitation in treatment facilities, targeting 200 NGO service providers</li> <li>Training to address health and social consequences of drug addiction and technical support for the establishment of low threshold drop in treatment centres for homeless and street-based addicts provided for at least three countries</li> </ul>	<ul style="list-style-type: none"> <li>For translation services required, CRITI will be engaged as far as possible within the EU procurement guidelines</li> </ul>
1.1.4	Research driven drug policy, interventions.	<ul style="list-style-type: none"> <li>Research on the psycho-social impact and economic cost of drug use and addiction undertaken in at least eight countries.</li> <li>Gender audit conducted in five countries.</li> <li>Assessment of implementation of alternatives to incarceration in five countries</li> <li>An evaluation of alternatives to incarceration for drug use offences in five countries</li> <li>Assessment of HIV prevalence among drug users in at least five countries</li> </ul>	<ul style="list-style-type: none"> <li>Member States will provide/allow access to data; Ethics boards will support research;</li> <li>CARPHA's CAREC would become a resource centre for information relating to drug use; drug use data, demand reduction and scientific analysis</li> <li>The CARICOM Secretariat will provide support in the form of one Coordinator to cover both DDR and Crime Prevention components. Programme Manager and Project Assistant will serve for both DDR and Crime Prevention components</li> </ul>	
1.1.5	Monitoring, meetings and travel	<ul style="list-style-type: none"> <li>Monitoring framework established during the first six months of the programme, and reports prepared accordingly</li> <li>At least five in country technical support /visits conducted annually</li> <li>At least two Technical Advisory Body/ Committee meetings held annually</li> </ul>	<ul style="list-style-type: none"> <li>TAB members will continue to provide pro bono services</li> <li>Member States will continue to provide in kind support by allowing TAB members time away from office to provide in-country technical support</li> </ul>	
1.1.6	Visibility and Communication	<ul style="list-style-type: none"> <li>Visibility and communications activities completed</li> <li>Mini video project documentary done</li> </ul>		
1.1.7	Audit of programme estimates	<ul style="list-style-type: none"> <li>Annual audit of PEs completed</li> </ul>		

2.1	CARIFORUM Drug Supply Control Initiatives			
2.1.1	IMPACS Activities Implementation period: 3 years		3,360,000 from EU contribution	
2.1.1.1	Border security capacity and institutional enhancement	<p><u>Expansion of the Advanced Passenger Information System (APIS) in CARIFORUM:</u></p> <ul style="list-style-type: none"><li>• Member States (Regional Customs and Immigration operational staff and Governments) sensitised on requirements for APIS through technical meetings)</li><li>• APIS equipment and software for Immigration departments in Belize, Haiti and Montserrat purchased (expanding APIS to these countries); and for 15 specialist units in CARICOM Member States purchased (for example CANU in Guyana, OCNU &amp; TTPS Special Branch in Trinidad, NJIC in Dominica)</li><li>• APIS systems for customs departments of 15 CARICOM Member States purchased and installed and Immigration, Customs and specialist units trained</li><li>• Training programme on APIS conducted for Customs officials</li><li>• Installation of systems in 13 Member States and in-country training for Immigration, Customs and specialist units conducted by IMPACS' technical staff</li><li>• Meeting of CICC on APIS expansion project convened</li><li>• Upgrade server rooms the JRCC and IMPACS</li></ul> <p><u>Establishment of the Advanced Cargo Information System (ACIS) in CARIFORUM:</u></p> <ul style="list-style-type: none"><li>• Member States sensitised on requirements for ACIS, IT infrastructure and ACIS equipment procured, installed and operational via the conduct of technical meetings on impending installation)</li><li>• IT infrastructure procured and installed to accommodate ACIS</li><li>• ACIS equipment procured, installed and operational in CARICOM Member States (Barbados, Belize, Dominica, Grenada, Haiti, Montserrat, St. Lucia, St. Vincent and the Grenadines, Suriname and Trinidad and Tobago and the JRCC)</li><li>• Technical services contracted to provide: ASYCUDA module development, system integration, technical user training, system deployment to individual Member States and to assist with ACIS Policy Development</li></ul>	<ul style="list-style-type: none"><li>• A quorum of Member States will participate in Sensitisation Sessions</li><li>• Equipment will be delivered in a timely manner</li><li>• Availability of adequate resources (financial, technical and human)</li><li>• Member States have sites ready and appropriate staff in place</li><li>• A quorum of Member States will participate in CICC meetings</li><li>• Immigration legislation to enable implementation will be in place</li><li>• Member states will appoint personnel to act as liaison for the project activities at the national level</li></ul> <ul style="list-style-type: none"><li>• Member States have sites ready and appropriate staff in place</li></ul>	

		<ul style="list-style-type: none"> <li>• E-document server and desktops procured and installed</li> <li>• In country training for Customs Units conducted</li> <li>• Training Material on border security developed</li> <li>• Training providers identified/engaged</li> <li>• 15 National and 1 regional training programmes conducted for immigration and customs officials</li> <li>• At least 30 trainers on border security trained</li> <li>• Assessment and Evaluation of training provided conducted</li> </ul>			<ul style="list-style-type: none"> <li>• Appropriate facilities to conduct training in Member States</li> </ul>
2.1.1.2	Strengthening border security to support harmonization and standardization of practices, policies and procedures utilized by customs and immigration officials.	<ul style="list-style-type: none"> <li>• Technical assistance from regional training institutions such as REDTRAC and UWI procured to assess the needs, design and deliver a training and certification programme for firearms examiners and ballistics experts through two levels of training: Basic and Advanced</li> <li>• Two basic 6 week training course delivered twice – at least 25 Firearms and Ballistic experts trained and certified to examine ballistics</li> <li>• Two (2) Advanced 4 week training courses delivered – at least 12 Firearms and Ballistic experts trained and certified to present exhibits in court</li> <li>• comparison microscope purchased for the examination of exhibits and housed at the regional training centre located in Jamaica</li> <li>• 9 additional comparison microscopes purchased for countries without microscopes</li> </ul>			<ul style="list-style-type: none"> <li>• Coordination staff including a qualified Project Coordinator and a Project Assistant can be recruited to support implementation, monitoring and review</li> </ul>
2.1.1.3	Enhancement of Forensic capacity - Upgrade and Expansion of Regional Ballistic Information Network (RIBIN)	<ul style="list-style-type: none"> <li>• 20 Intelligence practitioners from CARIFORUM Member States trained in Introduction to Regional Intelligence Gathering and Analysis</li> <li>• 20 Intelligence practitioners from the RIFC, JRCC, RSS and Member States trained in Advanced Intelligence Analysis</li> <li>• 20 Intelligence practitioners from RIFC, JRCC, RSS and Member states trained in Strategic Intelligence (trend analysis) training</li> <li>• Enhanced capacity in all CARICOM Member States to gather intelligence data</li> <li>• At least two study tours and two Expert Missions undertaken</li> <li>• Collaboration Agreements between RIFC and CLACIP signed</li> <li>• At least 1 Joint Training session conducted</li> <li>• At least 2 Expert Missions undertaken</li> </ul>			<ul style="list-style-type: none"> <li>• Appropriate facilities to conduct training</li> <li>• Availability of appropriate personnel</li> </ul>
2.1.1.4	Capacity Building of Information and Intelligence Resources in CARIFORUM	<ul style="list-style-type: none"> <li>• At least two study tours and two Expert Missions undertaken</li> <li>• Collaboration Agreements between RIFC and CLACIP signed</li> <li>• At least 1 Joint Training session conducted</li> <li>• At least 2 Expert Missions undertaken</li> </ul>			<ul style="list-style-type: none"> <li>• Political commitment to cooperate</li> </ul>
2.1.1.5	Enhancement of cooperation between the CARICOM Intelligence Community and Latin America and				

	Caribbean Community of Police Intelligence (CLACIP)				
2.1.1.6	Strengthening cooperation between CARICOM (IMPACS) and the DR (DNCD) Ministry of Interior and Police (MIP)	<ul style="list-style-type: none"><li>• Technical discussions completed between IMPACS and the DNCD/ MIP on the establishment of APIS, ACIS and participation in RIBIN during the first two years of the programme</li><li>• Agreement by CARICOM Conference and CONSLE for the DR to participate in CARICOM programmes received by the 2<sup>nd</sup> year of the programme</li><li>• Agreement for cooperation between Ministry of Interior and Police/DNCD and IMPACS for information on intelligence sharing, maintenance of APIS and ACIS, and technical cooperation in areas of common interests signed</li><li>• Technical survey to determine infrastructure and technical requirements of APIS and ACIS in the DR conducted</li><li>• Establishment of APIS , ACIS in the DR(Ministry of Interior and Police –MIP-)</li><li>• Participation of the DR in the establishment of the IMPACS training and certification programme for firearms examiners and ballistic experts</li></ul>		<ul style="list-style-type: none"><li>• Approval from CARICOM received by the 2nd year of the programme ( agreement by the Conference and the CONSLE, agreement from CARICOM on information sharing with the DR)</li></ul>	
2.1.1.7	Monitoring and Meetings and travel	<ul style="list-style-type: none"><li>• Monitoring framework established within six months</li><li>• Oversight, guidance and meetings undertaken</li><li>• At least 2 Technical Advisory Committee meetings held annually and at least two Crime and Security meetings/events attended</li><li>• Visibility and communication activities completed</li></ul>		<ul style="list-style-type: none"><li>• IMPACS will provide management support from the Head, Director, and technical support from the CCSS coordinating Unit and JRCC and ITC</li></ul>	
2.1.1.8	Visibility and Communication				
2.1.1.9	Audit of programme estimate	<ul style="list-style-type: none"><li>• Annual audit of PEs completed</li></ul>			
2.1.2	<b>RSS Activities</b> <b>Implementation period:</b> <b>3 years</b>		<b>1,412,000 of which 1,112,000 from EU contribution</b>		
2.1.2.1	Strengthening the capacities of law enforcement and border security personnel to address illicit trafficking in RSS Member States	<u>Capacity built to detect, investigate and prevent incidences of trafficking in drugs, illicit arms and human beings:</u> Two Advanced Coxswain Courses conducted for at least 30 members, two Basic Seamanship Courses conducted for at least 40 members and two Advanced Boarding Officer Courses conducted for at least 35 members of the Coast Guard and Police Marine		<ul style="list-style-type: none"><li>• All facilities and infrastructure will be in place in Member States selected for training sites</li><li>• Availability of qualified candidates to be trained</li></ul>	

		Units			
		<ul style="list-style-type: none"> <li>• One Instructor and Range Supervision Course conducted for at least 25 participants from the Special Services Units and Drug Squads</li> <li>• Seven Internal Security and Riot Drills Courses conducted for at least 300 participants of the Special Services Units, Drug Squads and Correctional Institutions</li> <li>• One Drug Prosecutors Workshop for 14 police prosecutors from the police forces</li> </ul> <p><u>Technical and managerial skills of Law Enforcement and Correctional Officers Strengthened:</u></p> <p>One Crime Scene Technician Courses conducted for at least 25 participants of CID/Major Crime Units</p> <ul style="list-style-type: none"> <li>• One Staff and Command Course for at least 25 middle/upper middle management, one Executive Development Workshop for at least 40 senior officers, one Instructor Development Course for 24 members and one Supervisory Course conducted for at least 20 middle managers of the police, defence force, correctional services, Immigration Customs and Coast Guards</li> </ul> <p><u>Skills, attitudes and competencies of Law Enforcement Officers when dealing with drug addiction, domestic violence and sexual offences promoted:</u></p> <ul style="list-style-type: none"> <li>• Sexual Offences Investigation seminars conducted for at least 230 participants from police forces</li> <li>• Human Trafficking and Human Rights seminars for at least 365 participants from Police, Customs and Immigration departments conducted</li> <li>• Domestic Violence workshops for at least 230 participants from Police and Social services conducted</li> </ul>			
2.1.2.2	Enhancing existing rehabilitation projects in Correctional Facilities for drug offenders and provide them with alternative skills	<p><u>Rehabilitation initiatives and alternative sentencing progressively embraced by law enforcement and correctional institutions:</u></p> <ul style="list-style-type: none"> <li>• A training, planning and coaching programme on the rehabilitation of correctional institutions developed and implemented</li> <li>• One rehabilitation and human rights seminar for correctional officers conducted</li> </ul>			<ul style="list-style-type: none"> <li>• Qualified Staff (including a Programme officer and a Finance/Admin Officer can be timely recruited to support implementation, monitoring and review</li> </ul>

		<p><i>Computers and other equipment provided to improve the physical environment of correctional institutions to enhance rehabilitation programmes:</i></p> <ul style="list-style-type: none"> <li>• Thirty five (35) computers to enhance the rehabilitation of offenders educational programme in seven correctional institutions procured</li> <li>• At least five (5) computers assigned to each correctional institution</li> <li>• Twenty one (21) pieces of workshop equipment procured, to enhance skills training programmes in seven correctional institutions</li> <li>• Twenty one (21) industrial sewing machines to enhance skill training programme in seven correctional Institutions procured</li> <li>• At least three sewing machines and three workshop equipment allocated to each correctional institution</li> </ul>			
2.1.2.3	Enhancing Public Awareness about Key Law Enforcement issues Including Drug Enforcement and Human Rights issues	<p><i>Lecture series across RSS Member States, utilizing Security Chiefs and Gazetted Police Officers from RSS Member States Security Sector Organizations developed:</i></p> <ul style="list-style-type: none"> <li>• Seven (7) lectures presented across the RSS Member States to at least 700 members of the public in RSS states on drugs trafficking and sexual offences</li> <li>• One media campaign on drug enforcement and human rights issues developed and conducted</li> <li>• Increased public awareness of issues pertaining to drugs and other related illicit activities, domestic violence and in sexual offence</li> </ul>			
2.1.2.4	Monitoring, meetings and travel	<ul style="list-style-type: none"> <li>• Monitoring framework established within <sup>1st</sup> six months of the programme, and reports prepared</li> <li>• At least 2 Technical Advisory Committee meetings held annually</li> </ul>		<ul style="list-style-type: none"> <li>• RSS in-kind contribution will provide management supervision of the implementation process, accommodation, additional administrative support and other implementation support</li> </ul>	
2.1.2.5	Visibility and Communication	<ul style="list-style-type: none"> <li>• Visibility and communication activities completed</li> </ul>			
2.1.2.6	Expenditure verification audit under grant contract	<ul style="list-style-type: none"> <li>• Expenditure verification audits completed</li> </ul>			
<b>2.1.3</b>	<b>CFATF Activities Implementation period:</b>		<b>1,323,000 of which</b>		



	3 years		1,233,000 from EU contribution	
2.1.3.1	Strengthening the capacity of CFATF members and FIUs in financial investigative and financial intelligence to assist in the fight against crime	<p><u>Regional training to Financial Investigators and Analysts developed and conducted / introductory module for investigators and analysts; module on financial intelligence and analysis; module on financial investigations; and module on criminal forfeiture/confiscation):</u></p> <ul style="list-style-type: none"> <li>• Selection criteria for candidates determined</li> <li>• Online assessment for entrance exam available</li> <li>• Quality standard training modules developed</li> <li>• Training courses delivered to at least 200 financial investigators and analysts – 6 annual sessions</li> <li>• Training equipment and supplies procured</li> <li>• List of certified potential trainers prepared</li> <li>• Train the Trainer course in financial investigative and financial intelligence units conducted</li> <li>• 70 FIU personnel trained in supervision of Designated Non-Financial Businesses and Professions</li> </ul> <p><u>Financial Investigators and Analysts mentored:</u></p> <ul style="list-style-type: none"> <li>• At least 200 financial investigators and analysts mentored via short term missions</li> </ul> <p><u>Financial Investigators and Analysts Accredited:</u></p> <ul style="list-style-type: none"> <li>• System for accreditation established and operational</li> <li>• Website infrastructure configured to allow entrance exam for potential candidates</li> <li>• IT support provided for online accreditation</li> </ul> <p>At least 200 accredited financial investigators and analysts</p> <p><u>Procurement of IT equipment:</u></p> <ul style="list-style-type: none"> <li>• IT equipment and software purchased (3 laptops, 5 analyst notebooks, and investigators iBase)</li> </ul>		<ul style="list-style-type: none"> <li>• Review of the SAC completed</li> <li>• Relevant regional legislation in place</li> <li>• An adequate pool of trainers available to deliver the introductory courses</li> <li>• Website infrastructure configured for online entrance exam</li> <li>• Participation of public and private sector institutions in training</li> <li>• CFATF capacity are supported by the recruitment and training of suitable programme staff including a Law Enforcement Advisor, as well as one Audit/Admin and one Information Technology Officer to support project implementation, monitoring and review for two years</li> <li>• Sufficient availability of mentors</li> <li>• The CFATF Accreditation Council will determine candidates suitability to be accredited</li> <li>• Adequate IT systems in place for online accreditation</li> </ul>
2.1.3.2	Monitoring	<p>CFATF In-kind contribution</p> <ul style="list-style-type: none"> <li>• Monitoring framework established during the first 6 months of the programme, and reports prepared</li> <li>• At least 2 monitoring/review meetings held and visits conducted annually (Baseline data exists)</li> </ul>		The monitoring framework for the project will be included into the wider CFATF Monitoring and reporting framework - CFATF in-kind contribution
2.1.3.3	Visibility and Communication	<ul style="list-style-type: none"> <li>• Visibility and communication activities completed</li> </ul>		

2.1.3.4	Audit of programme estimates	• Annual audit of PEs completed			
2.1.4	<b>CARIFORUM strengthened cooperation with Latin America</b>		1,430,000 from EU contribution		
	<b>Implementation period: 3 years</b>				
2.1.4.1	Institutionalising a mechanism for structured dialogue between CARIFORUM and Latin America on Crime and Security	<ul style="list-style-type: none"> <li>• A mechanism for structured dialogue between CARIFORUM and Latin America set up and institutionalised (via formal agreement; meetings/communication via electronic media and face to face meetings)</li> <li>• Dialogue meetings on CARIFORUM cooperation held annually</li> <li>• Collaboration on training; promotion of joint CELAC-EU Action Plan 2013 – 2015, and COPOLAD initiatives</li> </ul>		<ul style="list-style-type: none"> <li>• Necessary staff including one Programme Officer and one Accountant supporting implementation, monitoring and review can be timely recruited by the implementing partners in Dominican Republic</li> </ul>	
2.1.4.2	Collaborating on Joint actions	<ul style="list-style-type: none"> <li>• Agreements for cooperation between CARIFORUM and Latin American countries prepared and established especially with the member countries of SICA.</li> <li>• Training needs with Central America on maritime interdiction of illicit drug trafficking in the Caribbean Sea; on policy development and capacity building (such as the "COPOLAD" programme, for multipliers in drug prevention and in preventing trafficking and diversion of chemical precursors and psychotropic substances), assessed and identified</li> <li>• CARIFORUM security institutions participated in at least 1 joint training based on needs</li> <li>• Joint operations with Haiti in at least 1 training in maritime interdiction of illicit drugs, firearms, ammunitions and explosives</li> <li>• A system for information sharing on drug trafficking and money laundering between Central America and CARIFORUM established</li> <li>• One technical assistance to explore alternative livelihoods provided</li> </ul>		<ul style="list-style-type: none"> <li>• SICA will accept to collaborate and work jointly with CARIFORUM in regional security and crime and other issues of common interest.</li> </ul>	
2.1.4.3	Central assistance to strengthen the monitoring of money laundering networks	<ul style="list-style-type: none"> <li>• Digital information Sharing Platform installed in CONCLA/SICA/CARIFORUM</li> </ul>			
2.1.4.4	Strengthening DR Drug Supply Control Initiatives - DNCD Activities	<u>Institutional capabilities and equip Intelligence and Special Operations Units for better intervention on Interdiction Operations Developed:</u>		<ul style="list-style-type: none"> <li>• Vetted Units and Special Agents in DNCD are trained to manage this technology</li> </ul>	

		<ul style="list-style-type: none"> <li>• Twenty (20) intelligence analysis software (I-2 / Pen-Link), 10 Tactical Night Vision Goggles, 10 Portable UHF Radios and 10 Satellite Phones bought. ,</li> <li>• Twenty Canines (K-9) bought and trained.</li> <li>• Ten (10) Mobil VHF Radios in vehicles Installed</li> <li>• Ten (10) Portable UHF Radios Bought</li> </ul>	<ul style="list-style-type: none"> <li>• DNCD Agents and prosecutors will be recruited and allocated to take these training and workshops.</li> </ul>
		<p>Ten (10) Satellite Phone Acquired</p> <p><i>DNCD members working in areas and strategic facilities with high risks of narcotic smuggling, controlled substances and chemicals precursor trained:</i></p> <ul style="list-style-type: none"> <li>• Data collection, analysis, and reporting workshop for at least 40 drug trend analyst of the DNCD, police, defence force, and Prosecutors conducted, on Drug and Illicit Activities</li> <li>• Two Drug Prosecutors Workshop for 50 police prosecutors from the DNCD, Prosecutors and Police Forces conducted</li> <li>• Two Crime Scene Technician Courses for 30 participants of DNCD and Police Crime Units conducted</li> <li>• Technical assistance procured to design a training and certification programme for forensic acoustic examiners through two levels of training: Basic and Advanced for at least 40 Agents.</li> <li>• Two Money Laundering and asset forfeiture workshop for at least 40 Analysts from the Financial Investigations Unit and Prosecutors.</li> </ul> <p><u>The Financial Investigation Department of the DNCD strengthened:</u></p> <ul style="list-style-type: none"> <li>• The systematic monitoring of the activities of the Money Laundering for rapid and effective intervention of drug-related cases strengthened.</li> <li>• Strengthening the Financial Investigation Department of the DNCD by enhancing intelligence capabilities and operation of the Santiago de los Caballeros branch.</li> <li>• The new Regional Unit for Collecting Information, Monitoring and Analysis of Suspicious Financial Operations of the Eastern Region of the country based in La Romana fully operating.</li> <li>• Training, equipment and supplies procured</li> </ul>	
2.1.4.5	Monitoring, meetings and travel	<ul style="list-style-type: none"> <li>• Monitoring framework established within first 6 months and reports prepared</li> <li>• At least two Technical Advisory Committee meetings held annually</li> </ul>	
2.1.4.6	Visibility and Communication	<ul style="list-style-type: none"> <li>• Visibility and communication activities completed</li> </ul>	

2.1.4.7	Audit of programme estimates	Annual audit of PEs completed		
3.1	CARIFORUM Crime and Violence Prevention and Social Development Implementation period: 5 years	Means; Training; assessment; in-situ Visits/in-country technical support; study exchanges; technical assistance and services; and piloting of programmes/projects	2,300, 000 from EU contribution	
3.1.1	Prevention/reduction of crime and violence in CARIFORUM States (Pillar I and II of the revised Social Development and Crime Prevention Action Plan)	<ul style="list-style-type: none"> <li>• Risk, resilience and protective factors tools developed/adapted; and risk assessment among in and out of school youths and in communities, conducted in 7 CARIFORUM countries to inform programme interventions</li> <li>• Comprehensive school-based violence prevention programmes developed and implemented in 200 schools</li> <li>• Interventions to address aggressive behaviours in primary school-age children developed and piloted in five countries</li> <li>• <i>Regional research on youth gangs and violence, victims survey conducted</i></li> <li>• Piloting of youth gangs and violence partnering for social development and crime prevention project expanded to at least six countries</li> <li>• Three existing programmes which facilitate the access of youth at-risk to skills training in the culture and sport industries strengthened and replicated biannually in three countries</li> <li>• At least five regional/ international exchange of best practices/experience of youth-led initiatives which use culture and sports as vehicles to create resilience to risk factors promoted and supported</li> <li>• Two regional training workshops per annum targeting the media (practitioners, managers and owners) on their role in crime prevention Recruitment criteria to guide the appropriate selection of officers for community policing developed in collaboration with IMPACS, ACCP; NCAs</li> <li>• At least five in-country technical assistance provided for the development of messages and activities which promote the development of social capital between the police and at risk youths and in vulnerable communities.</li> <li>• Capacity of Community leaders and youth to address youth gangs and violence in schools and communities strengthened in at least eight States</li> <li>• One regional survey to assess the scope of the threat within</li> </ul>		<ul style="list-style-type: none"> <li>• Stakeholders willing to participate in programme interventions</li> <li>• Governments will allow access to school, records etc.</li> <li>• Media houses/free lance journalist will participate in training available to media personnel</li> <li>• Based on the experience of the DDR project under the 9th EDF, that there will be at least five request for in-country technical support</li> </ul>

		<p>CARIFORUM countries and the underlying causes of youth membership in violent gangs conducted</p> <ul style="list-style-type: none"> <li>• Ten capacity building workshops targeting states, NCAs and non state organisations and communities to implement social and situational crime prevention strategies and actions</li> </ul>		
3.1.2	Reduction/elimination of intra-family and domestic violence through empowering victims and providing support to reduce victimization (Pillars I and IV of the revised Social Development and Crime Prevention Action Plan)	<ul style="list-style-type: none"> <li>• Programmes to allow young mothers to return to formal education developed and/or strengthened and implemented in six countries</li> <li>• One Stop Centres for victims of sexual and gender-based violence established in six countries</li> <li>• Model policy, legislation and interventions developed to address violence against alternative sexual orientation</li> <li>• Programmes to address victims' vulnerabilities and risk behaviours in order to reduce rates of re-victimization developed/strengthened and implemented in seven countries</li> <li>• Six regional training workshops conducted to strengthen the capacity of criminal justice sector officials (e.g. police officers, judicial officials and others) to administer the appropriate treatment to victims of domestic and intra-family violence</li> <li>• Systems and services to monitor and address repeat victimization developed and/or strengthened and implemented in five countries</li> <li>• Legal framework reviewed and adjusted to address intra-family and domestic violence and the rights of victims in seven countries</li> <li>• Five regional workshops conducted, targeting training of at least 200 personnel (working in Gender Units/with victims and perpetrators of domestic/intra-family violence) in interviewing and counselling skills</li> <li>• Five regional training workshops conducted to build the capacity of justice officials in the use of testimonial assistance techniques to protect the safety, privacy, and identity of victims who testify as witnesses in court strengthened</li> <li>• In-country technical support provided to victims of gender-based violence for the establishment of support group/network in five CARIFORUM countries</li> <li>• Programmes that actively involve men in addressing the roots of gender based violence (e.g. One Man Can Campaign) implemented in five CARIFORUM countries</li> <li>• Legislation relating to Gender Based Violence (Domestic</li> </ul>		<ul style="list-style-type: none"> <li>• Adequate Support from Ministries of Education will be received</li> </ul>

		Violence and Sexual Offences legislation) and other areas of criminal and civil law (e.g. divorce, custody, etc) reviewed; and recommendations for up-dating provided for seven countries		
		<ul style="list-style-type: none"> <li>In country technical support provided to increase the capacity of grass-root organizations/FBOs/NGOs working with victims/perpetrators of domestic violence to deliver services and support strengthened in six countries</li> </ul>		
3.1.3	Reduction of recidivism, and promotion of social inclusion and reintegration of offenders in CARIFORUM States through programmes; through strengthened institutional response, policy and legislative frameworks (Pillars II, III, IV and V of the revised Social Development and Crime Prevention Action Plan)	<ul style="list-style-type: none"> <li>Crime victim surveys conducted in at least three countries</li> <li>500 Probation officers and social workers trained to provide support and follow-up for persons given alternative to incarceration sentencing</li> <li>500 Probation officers, social workers, Faith Based and community leaders trained in mediation and conflict resolution restorative justice</li> <li>Programmes to address restorative justice and social re-integration developed and implemented (e.g. Sycamore Tree) piloted in at least three countries</li> <li>Data on the measurement of juvenile justice indicators (including pre-trial detention) collected and used to inform policy and legislation in at least three countries</li> <li>Entrepreneurship training for at-risk youth strengthened/conducted in eight countries</li> <li>Partnerships established with the private sector for job placement/apprentice programmes for youth at risk in eight countries</li> <li>Five regional capacity building workshops and five in-country and technical support provided to NGOs/FBOs working with involuntary re-migrants (deportees)</li> <li>Training and technical support for community-based income generating initiatives provided in eight Countries</li> <li>Three sub-regional workshops to address environmental protection through legislation and capacity building targeting NGOs convened</li> <li>Assessment of status of implementation/use of alternative to incarceration being implemented in CARIFORUM</li> </ul>		<ul style="list-style-type: none"> <li>Government will allow time off and purchase tickets for participants to attend training (participation supported on a refund basis)</li> </ul>
3.1.4	Support to facilitate communication, sharing of good practices and development of products from training	<p><u>Technical Assistance and Services for:</u></p> <ul style="list-style-type: none"> <li>Translation into English/Spanish</li> <li>Production and dissemination of tools, manuals, protocols, guidelines</li> <li>Translation for meetings</li> </ul>		<ul style="list-style-type: none"> <li>While the Finance/Admin Officer mentioned under point 1.1.1 will serve for both DDR and Crime Prevention component, further programme support staff (one Programme Manager and one Admin Officer) are</li> </ul>

	workshop to support in country implementation			successfully and timely mobilised for this component.
3.1.5	Monitoring, meetings and travel.	<ul style="list-style-type: none"> <li>Monitoring framework established during the first 6 months of programme and reports prepared</li> <li>At least five in country technical support /visits conducted per annum)At least 2 Technical Advisory Committee meetings held annually (for 5 years)</li> <li>Participation in at least 3 relevant Crime and Security meetings/events annually</li> <li>Visibility and communication activities completed</li> <li>Annual audit of PEs completed</li> </ul>		<ul style="list-style-type: none"> <li>CARICOM Secretariat will provide support in the form of one Coordinator for both DDR and Crime Prevention</li> </ul>
3.1.6	Visibility and Communication			
3.1.7	Audit of programme estimates			
4.0	External Evaluation	(For Audit, provisions are made under each component, all totalling €320,000)	200,000 from EU contribution	
5.0	Visibility and Communications	(Provisions made under each component totalling €100,000- shown in detailed budget)		
6.0	Contingencies		60,000 from EU contribution	
	Total Budget (€)		12,585,000 of which 12,195,000 from EU contribution	

**Baseline Data:**

**Activity 2.1.1 IMPACS Activities**

**2.1.1.1** - APIS currently installed in 10 member states (Antigua and Barbuda, Barbados, Dominica, Grenada, Guyana, Jamaica, St. Kitts, St. Lucia, St. Vincent and the Grenadines and Trinidad and Tobago).

**2.1.1.1.1** Currently the ACIS system is not installed in any of the ASYCUDA Member States. Currently 10 Member States use the ASYCUDA software

**2.1.1.2**

- Currently there is insufficient standardisation of immigration and customs procedures among CARICOM Member States

- Currently there are insufficient Immigration and Customs officials residing in the region to deliver training on a continuous and sufficiently regular basis to Customs and Immigration officials

**2.1.1.4** There is currently no regional programme in place to facilitate the training and certification of these practitioners. Many of the practitioners in the field of Ballistics and firearms examiners in the region are not certified.

**Activity 2.1.4 - CARIFORUM strengthened cooperation with Latin America**

- The DNCD operational capacity to respond effectively against drug trafficking in the Dominican Republic has increased significantly working in coordination with other institutions and agencies with common goals
- The increase in the seizure of drugs in the DR is the work DNCD coordinated with other state institutions and agencies that share information and results yielding increased illicit drug seizures nationwide.
- The National Committee Against Money Laundering in the Financial Analysis Unit, are working on the implementation of a computerized information platform to work in coordination hemisphere countries and CARIFORUM states in order to share information illegally obtained financial



# APPENDIX 2 to the TAPS

## INDICATIVE IMPLEMENTATION TIMETABLE – 10<sup>th</sup> EDF CRIME AND SECURITY PROGRAMME

DESCRIPTION OF ACTIVITIES	Year 0		Year 1		Year 2		Year 3		Year 4		Year 5		Year 6		Year 7	
	H1	H2	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	H1	H2
	INCEPTION PHASE															
Signature of Financing Agreement	x															
Preparation and Signature of Contracts	x	x														
EXECUTION PHASE																
1.1 CARIFORUM Drug Demand Reduction (DDR)																
1.1.1 Strengthening human, technical and institutional capacity of DDR institutions, systems and networks			x	x	x	x	x	x	x	x	x	x	x	x		
1.1.2 Development and delivery of culturally and age appropriate, gender sensitive DDR prevention education programmes in schools and communities			x	x	x	x	x	x	x	x	x	x	x	x		
1.1.3 Delivery of culturally and age appropriate, gender sensitive treatment and rehabilitation services and interventions			x	x	x	x	x	x	x	x	x	x	x	x		
1.1.4 Research driven drug policy, interventions			x	x	x	x	x	x	x	x	x	x	x	x		
1.1.5 Institutional and operational support			x	x	x	x	x	x	x	x	x	x	x	x		
1.1.6 Monitoring, meetings and travel			x	x	x	x	x	x	x	x	x	x	x	x		
1.1.7 Visibility and Communication			x	x	x	x	x	x	x	x	x	x	x	x		
1.1.8 Audit of programme estimates						x										
2.1 CARIFORUM Drug Supply Control Initiatives																
2.1.1 IMPACS Activities																
2.1.1.1 Border Security capacity and institutional enhancement			x	x	x	x	x	x	x	x						
- Expansion of the Advanced Passenger Information System (APIS) in CARIFORUM																
- Establishment of the Advanced Cargo Information System (ACIS) in CARIFORUM																
2.1.1.2 Strengthening border security to support harmonization and standardization of practices, policies and procedures utilized by customs and immigration officials			x	x	x	x	x	x	x	x						
2.1.1.3 Enhancement of Forensic capacity - Upgrade and Expansion of Regional Ballistic Information Network (RUBIN)			x	x	x	x	x	x	x	x						
2.1.1.4 Capacity Building of Information and Intelligence Resources in CARIFORUM			x	x	x	x	x	x	x	x						
2.1.1.5 Cooperation between the CARICOM Intelligence Community and Latin America and				x	x	x	x	x	x	x						

APPENDIX 2 to the TAPS

DESCRIPTION OF ACTIVITIES	Year 0		Year 1				Year 2				Year 3				Year 4				Year 5				Year 6				Year 7	
	H1	H2	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	H1	H2	H1	H2	H1	H2
Caribbean Community of Police Intelligence																												
2.1.1.6 Institutional and operational support																												
2.1.1.7 Strengthening cooperation between CARICOM (IMPACS) and the DR (DNCD)			x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.1.8 Monitoring and Meetings and travel																												
2.1.1.9 Visibility and communication			x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.1.10 Audit of programme estimates						x										x												
<b>2.1.2 RSS Activities</b>																												
2.1.2.1 Strengthening the capacities of law enforcement and border security personnel to address illicit trafficking in RSS Member States				x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
- Capacity built to detect, investigate and prevent incidences of trafficking in drugs, illicit arms and human beings																												
- Technical and managerial skills of law enforcement and correctional officers strengthened																												
- Skills, attitudes and competencies of law enforcement officers when dealing with drug addiction, domestic violence and sexual offences promoted																												
2.1.2.2 Enhancing existing rehabilitation projects in Correctional Facilities for drug offenders and provide them with alternative skills				x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.2.3 Enhancing Public Awareness about Key Law Enforcement issues Including Drug Enforcement and Human Rights issues				x		x					x				x													
2.1.2.4 Institutional and operational support				x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.2.5 Monitoring, meetings and travel				x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.2.6 Visibility and Communication				x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.2.7 Expenditure verification audit under grant contract						x										x												
<b>2.1.3 CFATF Activities</b>																												
2.1.3.1 Strengthening the capacity of CFATF members and FIUs in financial investigative and financial intelligence to assist in the fight against crime			x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
- Regional training to financial investigators and analysts developed and conducted																												
- Financial investigators and analysts mentored and accredited																												
- IT equipment and software purchased																												
2.1.3.2 Institutional and operational support			x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.3.3 Monitoring			x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
2.1.3.4 Visibility and Communication			x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	

APPENDIX 2 to the TAPS

DESCRIPTION OF ACTIVITIES	Year 0		Year 1				Year 2				Year 3				Year 4				Year 5		Year 6		Year 7	
	H1	H2	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	H1	H2	H1	H2
2.1.3.5 Audit of programme estimates						x				x														
<b>2.1.4 CARIFORUM strengthened cooperation with Latin America</b>																								
2.1.4.1 Institutionalising a mechanism for structured dialogue between CARIFORUM and Latin America on Crime and Security			x	x		x				x														
2.1.4.2 Collaborating on Joint actions																								
2.1.4.3 Central assistance to strengthen the monitoring of money laundering networks			x	x		x				x														
2.1.4.4 Strengthening DR Drug Supply Control Initiatives - DNCD Activities			x	x		x				x														
- Institutional capabilities and equip intelligence and special operations units for better intervention on interdiction operations developed																								
- DNCD members working in areas and strategic facilities with high risks of narcotic smuggling, controlled substances and chemicals precursor trained																								
- The financial investigation department of the DNCD strengthened																								
2.1.4.5 Institutional and operational support			x	x		x				x														
2.1.4.6 Monitoring, meetings and travel			x	x		x				x														
2.1.4.7 Visibility and Communication			x	x		x				x														
2.1.4.8 Audit of programme estimates						x																		
<b>3.1. CARIFORUM Crime and Violence Prevention and Social Development</b>																								
3.1.1 Prevention/reduction of crime and violence in CARIFORUM States			x	x		x				x														
3.1.2 Reduction/elimination of intra-family and domestic violence through empowering victims and providing support to reduce victimization			x	x		x				x														
3.1.3 Reduction of recidivism and promotion of social inclusion and reintegration of offenders in CARIFORUM States through strengthened institutional response, policy and legislative frameworks																								
3.1.4 Support to facilitate communication, sharing of good practices and development of products from training workshop to support in country implementation			x	x		x				x														
3.1.5 Institutional and operational support																								
3.1.6 Monitoring, meetings and travel			x	x		x				x														
3.1.7 Visibility and Communication			x	x		x				x														

APPENDIX 2 to the TAPS

DESCRIPTION OF ACTIVITIES	Year 0		Year 1				Year 2				Year 3				Year 4				Year 5				Year 6		Year 7	
	H1	H2	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	H1	H2	H1	H2
3.1.8 Audit of programme estimates																										
<b>REVIEW, REPORTS AND CLOSURE</b>																										
Preparation and submission of annual reports																										
External monitoring																										
Final evaluation																										
Final Audit																										
Closure																										